



Using similar mathematical modelling with both single module IV curve measurements and array Inverter data

Juergen Sutterlueti¹ and Steve Ransome²

¹Gantner Instruments, Austria

J.Sutterlueti@gantner-instruments.com

²Steve Ransome Consulting Ltd, U.K.

steve@steveransome.com

2nd May 2018



2 May 2018

Overview of measurement types and models at different levels

| Levels | DC, AC | Performance Measurements | Variability, losses | Models |
|-------------------|--------------------|---|---|---|
| Modules - Strings | DC | Can be full IV curves, indoor or outdoor | Minimal with single modules, mismatch in strings | 1-diode, SAPM, LFM etc. |
| Inverter | DC → AC | V_{MPP} tracking, Efficiency vs P_{in} , Thermal cut out, clipping, downtime etc. | | |
| Large Arrays | DC side or AC side | Usually just P_{MAX} , sometimes I_{MAX} and V_{MAX} | Module mismatch, Soiling, T_{MOD} , Wiring losses Cloud variability across array | Empirical e.g PVUSA, scaled module + inverter model, MPM etc. |



Gantner Instruments (GI) OTF in Tempe, AZ

GI powerplant in the UK



| Model Introduced | DC, AC | Parameters | Pros and Cons | |
|--|--------------------|---|--|--|
| <p>LFM Loss Factors Model (2011 26th Hamburg PVSEC)</p> | DC | Derives 6 normalised IV curve parameters and 2 curvature checks | <p>✓ Optimised model for good IV traces.</p> <p>✗ Cannot derive R_{SC}, R_{OC} from poor IV curves</p> | |
| <p>MPM Mechanistic Performance Model (2017 7th PVPMC Canobbio)</p> | DC side or AC side | Derives 5 normalised PR_{DC} parameters | <p>✓ Optimised model for PR_{DC} for indoor matrix, outdoor data</p> <p>✗ Only derives PR_{DC}</p> | |

Confidential | Subject to NDA

Comparison of two different models used by GI/SRCL

| Model introduced | DC, AC | Parameters | Pros and Cons | Enhancements |
|--|--------------------|---|--|---|
| LFM Loss Factors Model (2011 26th Hamburg PVSEC) | DC | Derives 6 normalised IV curve parameters and 2 curvature checks | ✓ Optimised model for good IV traces. ✗ Cannot derive R_{sc} , R_{oc} from poor IV curves | Simplify LFM parameters to work with lower quality IV curves and also just I_{mp} and V_{mp} values |
| | | | | ✓ Overlap ! |
| MPM Mechanistic Performance Model (2017 7 th PVPMC Canobbio) | DC side or AC side | Derives 5 normalised PR_{DC} parameters | ✓ Optimised model for PR_{DC} for indoor matrix, outdoor data ✗ Only derives PR_{DC} | Generalise MPM equations to work with LFM like parameters e.g. nI_{sc} , nR_{sc} , ... nV_{oc} |

| Are Coefficients ... | ✔ LFM and MPM | ✘ Empirical models |
|---|--|---|
| <p>Meaningful (depend on physical effects)</p> | <p>Yes e.g.</p> <ul style="list-style-type: none"> • $P_{ACTUAL}/P_{NAMEPLATE} = P$ Tolerance • $1/P * dP/dT_{MODULE} =$ Temp Coeff γ • $nV_{OC} = \text{meas}V_{OC}/\text{ref}V_{OC}$ etc. | <p>No <u>not dependent on real behaviour</u> e.g. $X1, X2$</p> $T_{MOD} * T_{AMBIENT}$ $T_{MOD} * \text{LOG}(G_1)^2$ |
| <p>Orthogonal (independent of each other)</p> | <p>Yes Allow for <u>a unique fit.</u></p> | <p>No <u>Unique fits aren't possible.</u></p> |
| <p>Robust (can fit data with noise)</p> | <p>Yes Fits sensibly <u>without being perturbed</u></p> | <p>No <u>non realistic predictions</u> e.g. spikes and/or non linearity</p> |
| <p>Normalised (divide by reference values)</p> | <p>Yes <u>parameters can be compared and validated more easily</u> e.g. $nV_{OC} = V_{OC.MEAS}/V_{OC.NOMINAL}$ may be 95% module → string</p> | <p>No <u>hard to see what is good behaviour at different levels</u> e.g. $V_{OC}=30V$ module, $V_{OC}=600V$ string What about MPPT limits?</p> |

Overview of The Loss Factors Model (LFM)

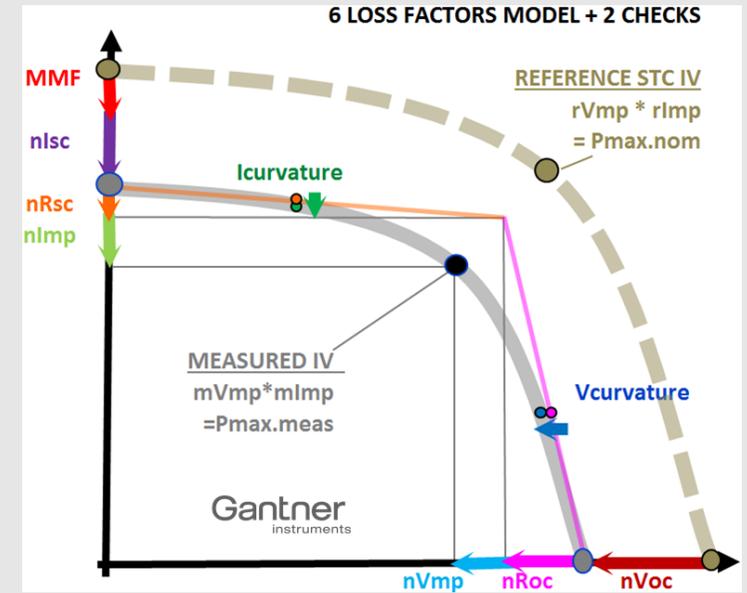
E.g [Stein et al 28th PVSEC Paris 2013] for comparison with 1-diode and SAPM

- The **Loss Factors Model "LFM"** provides a powerful analysis of indoor or outdoor IV curves

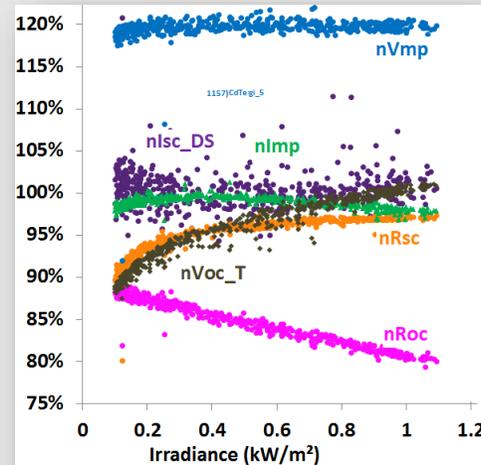
$$PR_{DC} = nI_{sc} * nR_{sc} * nI_{MP} * nV_{MP} * nR_{oc} * nV_{oc}$$

- Two other parameters nI_c and nV_c show the deviation from expected $I@V_{MP}/2$ and $V@I_{MP}/2$ and give measured values indicating amounts of cell current mismatch and roll over respectively

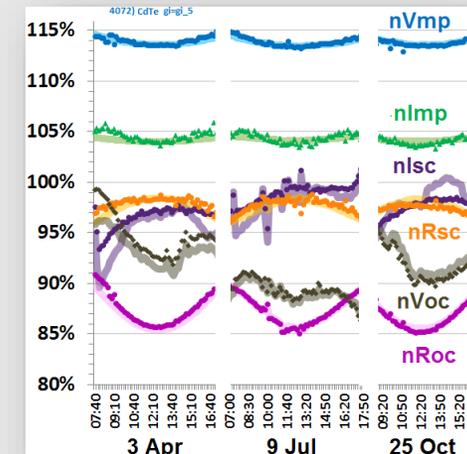
LFM



Characterise a module vs. G_p , T_{mod} etc.



Predict performance vs. time and weather



LFM can easily find any discrepancies, degradation, poor measurements etc

What are the main PV performance vs. weather dependencies ?

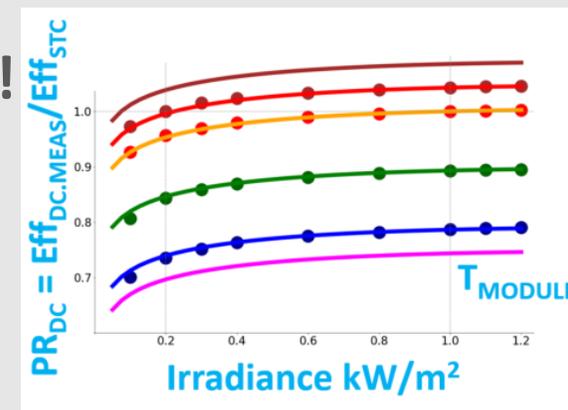
- | | |
|---|--|
| 1. $I_{MAX} \propto G_I$ | Module STC rating actual/nominal W |
| 2. $P_{MAX} \propto (1 + \gamma * (T_{MOD} - 25))$ | Power temperature coefficient “ γ ” |
| 3. $V_{MAX} \propto \log(G_I)$ | From diode equation |
| 4. $\Delta P_{MAX} \propto I_{MAX}^2 * R_{SERIES}$ | $I^2.R_s$ loss |
| 5. $T_{MOD} \sim T_{AMB} - \text{fn}(\text{Windspeed})$ | NMOT Thermal rise |
| 6. $R_{SHUNT} \text{ vs. } G_I$ | (depends on technology) – behaves like $3.V_{MAX}$ |

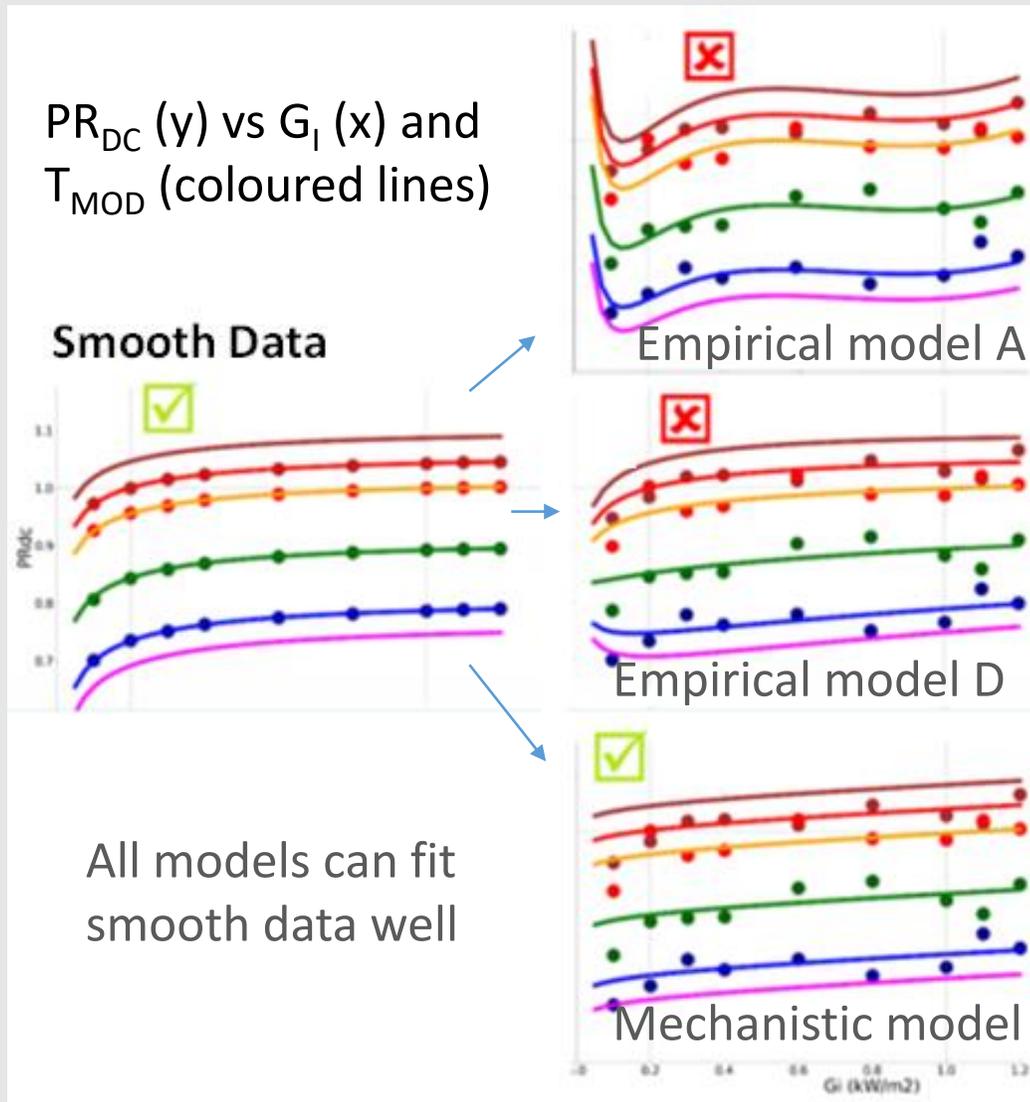
Mechanistic Performance Model only uses these dependencies !

$$PR_{DC} = C_1 + C_2 * (T_{MOD} - 25) + C_3 * \log_{10}(G_I) + C_4 * G_I + C_5 * WS$$

P_{ACTUAL} Temperature V_{oc} R_{SERIES} NOCT

- $dT_{MOD} = (T_{MOD} - 25)$
- G_I = plane of array irradiance (kW/m²)
- WS = wind speed (ms⁻¹)





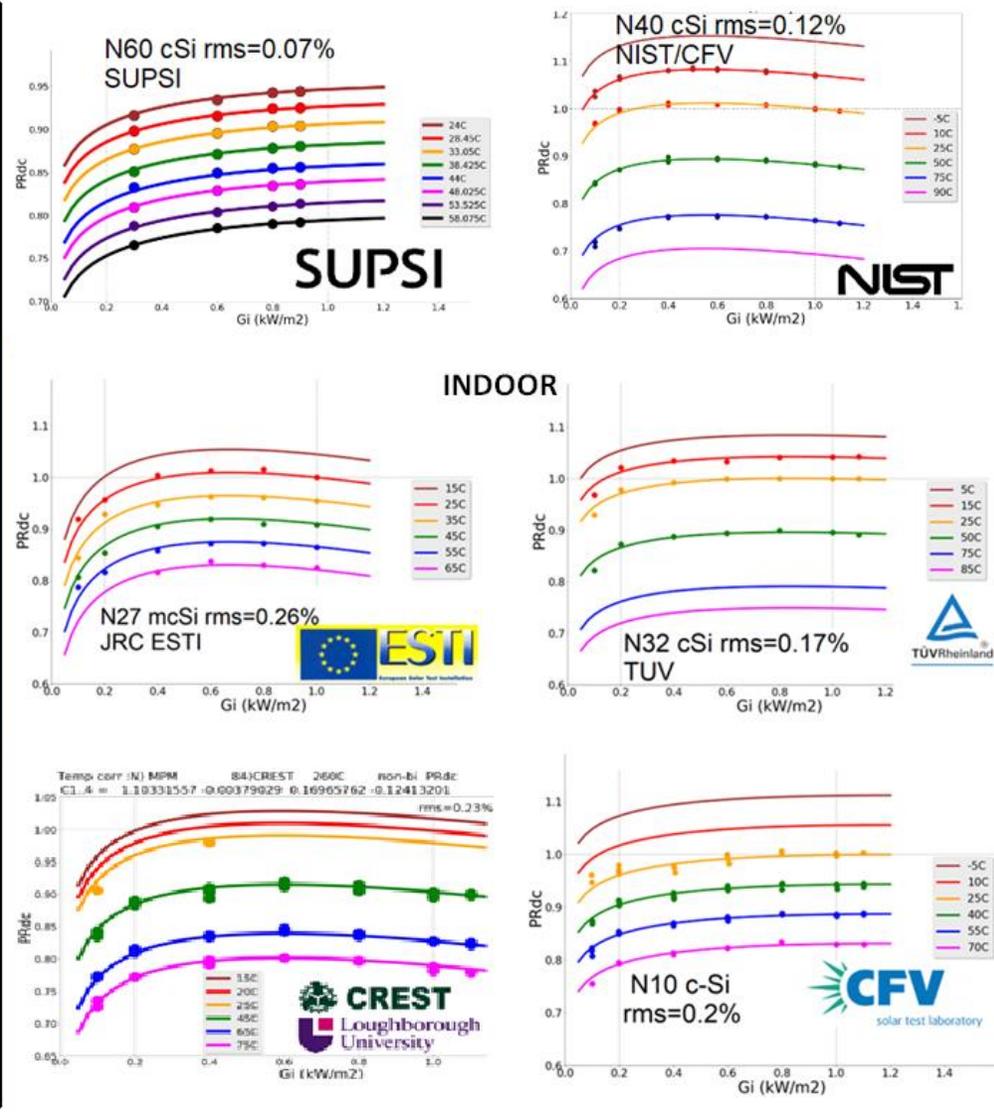
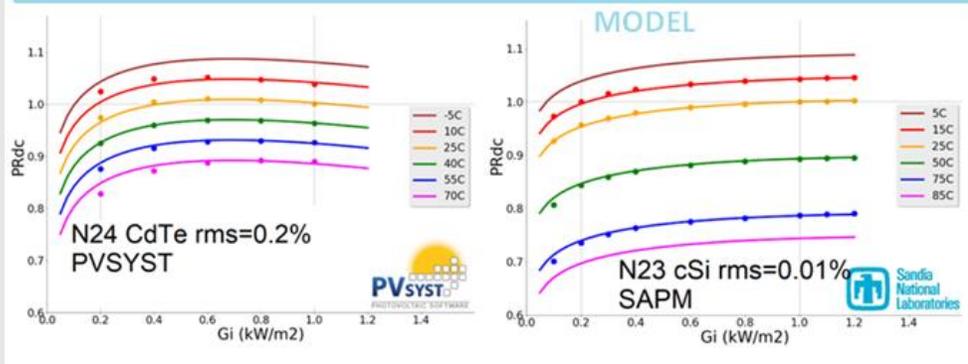
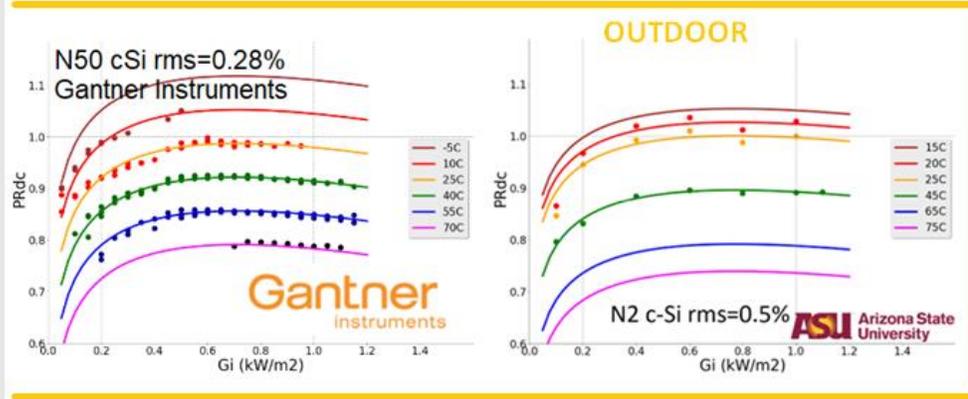
Added noise to mimic real measurements and test robustness

✗ Empirical models can be affected by random scattered points and give non physical fits

✓ Mechanistic models can be unaffected by random scattered points and still gives physical fit

(Please send us any more for confidential comparisons or to be included here !)

PR_{DC} (y) vs G_i (x) and T_{MOD} (coloured lines)



Confidential | Subject to NDA

Why mechanistic model coefficient values are more useful than from empirical models

Compare fits vs. technology **cSi** **aSi** **CdTe** [SUPSI data]

| Technology | ID | C ₁ | C ₂ | C ₃ | C ₄ | C ₅ | rms |
|------------|-----|----------------|----------------|----------------|----------------|----------------|-------|
| c-Si | 60) | -42.3 | 53.9 | -10.7 | -32.9 | -8.0 | 0.22% |
| c-Si | 62) | -127.2 | 159.8 | -31.7 | -97.8 | -23.9 | 0.22% |
| c-Si | 64) | -71.5 | 90.5 | -18.0 | -55.3 | -13.5 | 0.09% |
| c-Si | 66) | -93.4 | 117.6 | -23.4 | -72.0 | -17.6 | 1.84% |
| c-Si | 67) | 100.2 | 123.8 | 24.6 | 75.6 | 18.4 | 0.24% |
| c-Si | 68) | -69.5 | 87.9 | -17.5 | -53.8 | -13.1 | 0.16% |
| c-Si | 70) | -37.3 | 131.4 | -98.7 | 21.9 | 23.5 | 0.10% |
| c-Si | 71) | 6.4 | -6.8 | 1.4 | 4.1 | 0.9 | 0.07% |
| c-Si | 72) | 60.7 | 132.5 | 76.7 | 10.1 | -10.2 | 0.59% |
| c-Si | 73) | 53.8 | -68.9 | 16.3 | 38.4 | 8.7 | 0.09% |
| TF a-Si | 65) | 0.2 | 1.1 | -0.3 | -0.5 | -0.1 | 0.94% |
| TF a-Si | 74) | 90.8 | 121.1 | 31.9 | 62.8 | 13.2 | 0.32% |
| TF CdTe | 63) | -0.6 | 2.2 | -0.6 | -1.2 | -0.3 | 0.27% |

Empirical model with 5 parameters

- no meaningful pattern to coefficients
- large variability
- self compensation (e.g. C₁ vs. C₂)

| | | | | | | | |
|---------|-----|--------|--------|-------|--------|------|-------|
| c-Si | 60) | 96.2% | -0.45% | 8.3% | -2.1% | 0.0% | 0.07% |
| c-Si | 62) | 109.6% | -0.42% | 20.5% | -10.0% | 0.0% | 0.09% |
| c-Si | 64) | 106.4% | -0.45% | 8.5% | -6.4% | 0.0% | 0.09% |
| c-Si | 66) | 107.7% | -0.48% | 11.9% | -7.7% | 0.0% | 0.08% |
| c-Si | 67) | 115.2% | -0.48% | 18.2% | -15.4% | 0.0% | 0.11% |
| c-Si | 68) | 107.6% | -0.47% | 10.4% | -7.5% | 0.0% | 0.09% |
| c-Si | 70) | 103.7% | -0.46% | 3.9% | -4.3% | 0.0% | 0.08% |
| c-Si | 71) | 113.7% | -0.46% | 24.4% | -12.4% | 0.0% | 0.08% |
| c-Si | 72) | 99.6% | -0.44% | 0.7% | 1.2% | 0.0% | 0.20% |
| c-Si | 73) | 109.4% | -0.45% | 17.1% | -9.2% | 0.0% | 0.09% |
| TF a-Si | 65) | 112.2% | -0.11% | 31.6% | -11.9% | 0.0% | 0.21% |
| TF a-Si | 74) | 122.7% | -0.22% | 39.5% | -23.1% | 0.0% | 0.33% |
| TF CdTe | 63) | 127.3% | -0.25% | 19.6% | -20.2% | 0.0% | 0.16% |

MPM Mechanistic model with 4 parameters

- Meaningful values of all coefficients = more robust fitting
- Better average rms fit

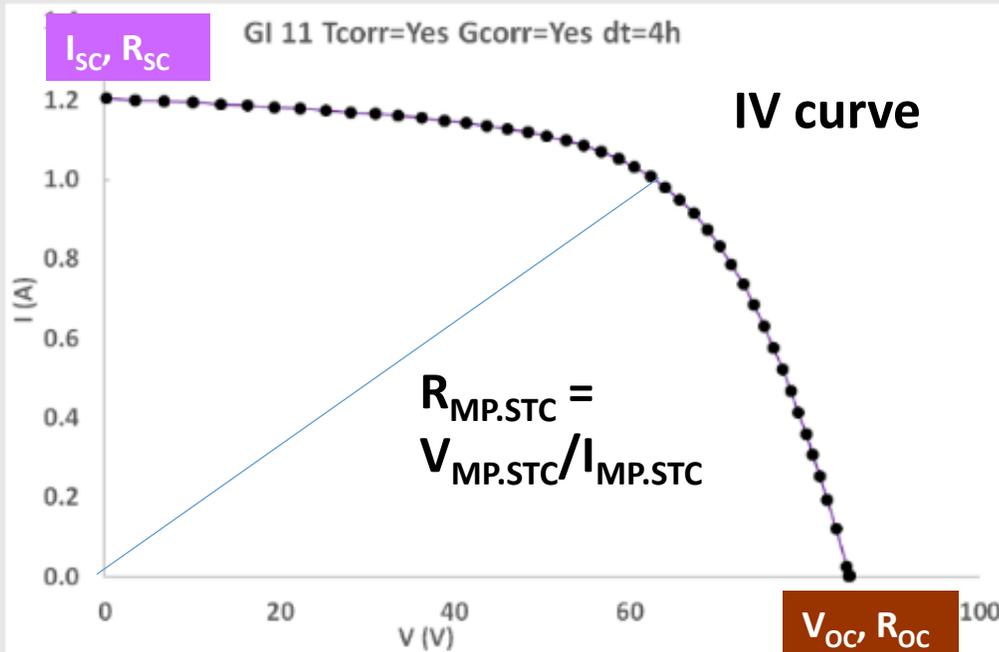
C₁=P_{MAX} tolerance C₂=Realistic P_{MAX} Temperature coefficient (TF < c-Si)

Confidential | Subject to NDA

With "normalised Resistance vs. Voltage" (nRV) curves shown on log scale

Smooth IV data is needed to extract precise values particularly for R_{SC} .

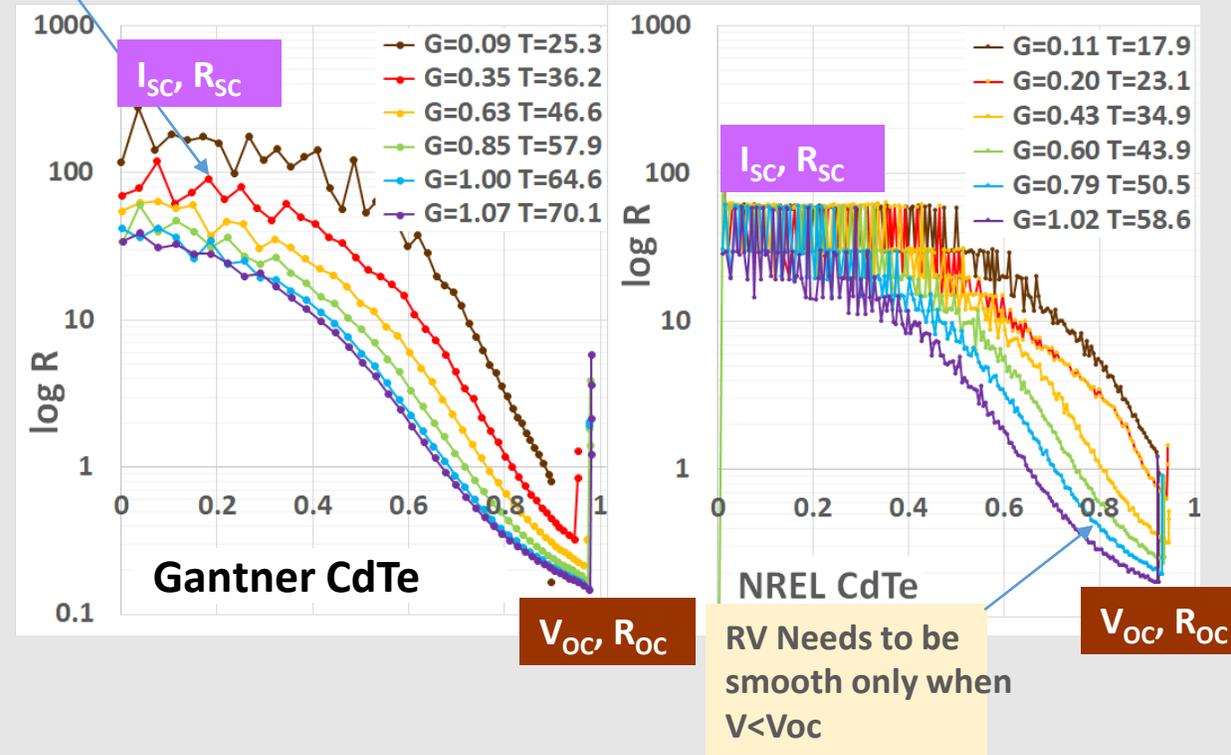
$$nR_n = -\Delta V / \Delta I / (R_{MP.STC})$$



Analysing nRV data can show if limited precision, errors, drift, scatter exist

nRV Needs to be smooth near $V=0$ for I_{sc}, R_{sc}

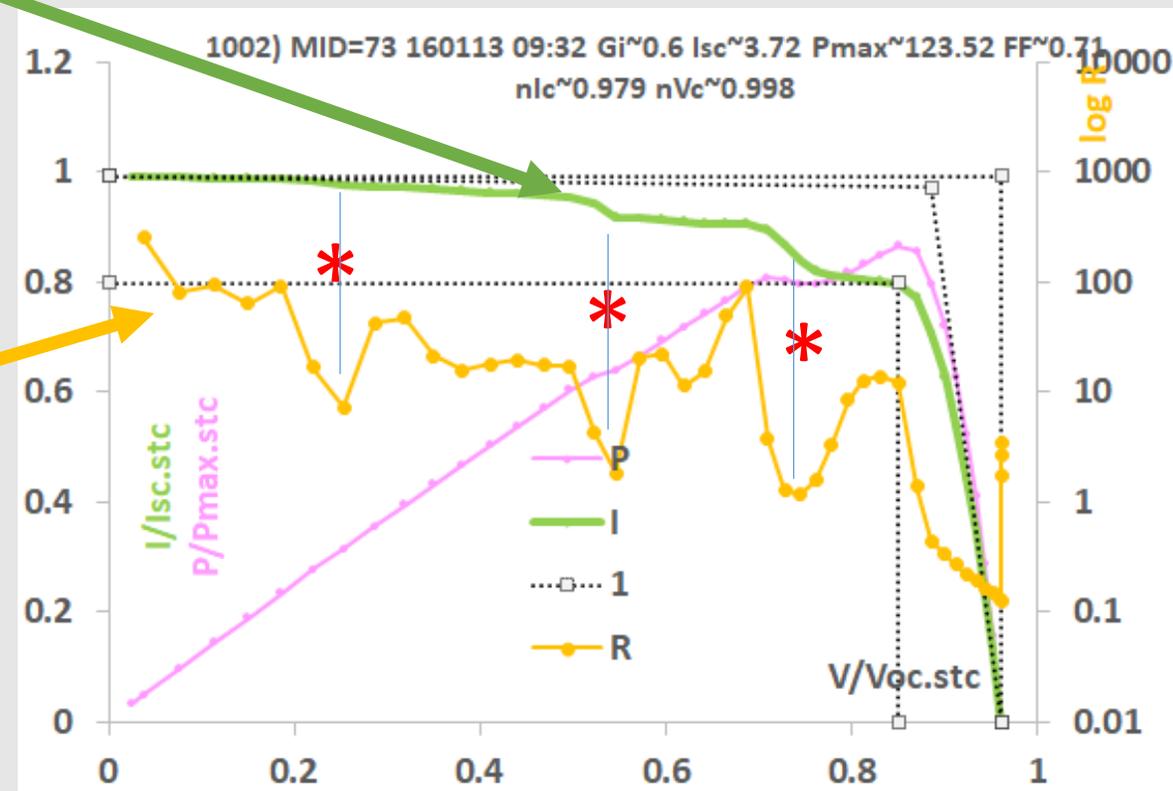
Log (nR_n) vs. V and (G,Tmod), CdTe modules



Gantner(L) much smoother I_{sc} than NREL Daystar (R)

Advanced analysis of IV curves using nRV values

- **Normalised IV curve** ($I_{MEAS}/I_{SC.STC}/G_I$ vs. $V_{MEAS}/V_{OC.STC}$) shows steps (*) between I_{SC} and I_{MP} indicative of cell current mismatch due to broken cells, variability or shading
- Derivation of **nRV curves** quantify and qualify the three steps (minima in nRV curve)
- Steps are also apparent in **PV curve**
- As nRV is normalised value should be ~ 1 at I_{MP} on a perfect (stepless) curve



MORNING 09:32
MID IRRADIANCE 0.6kW/m²

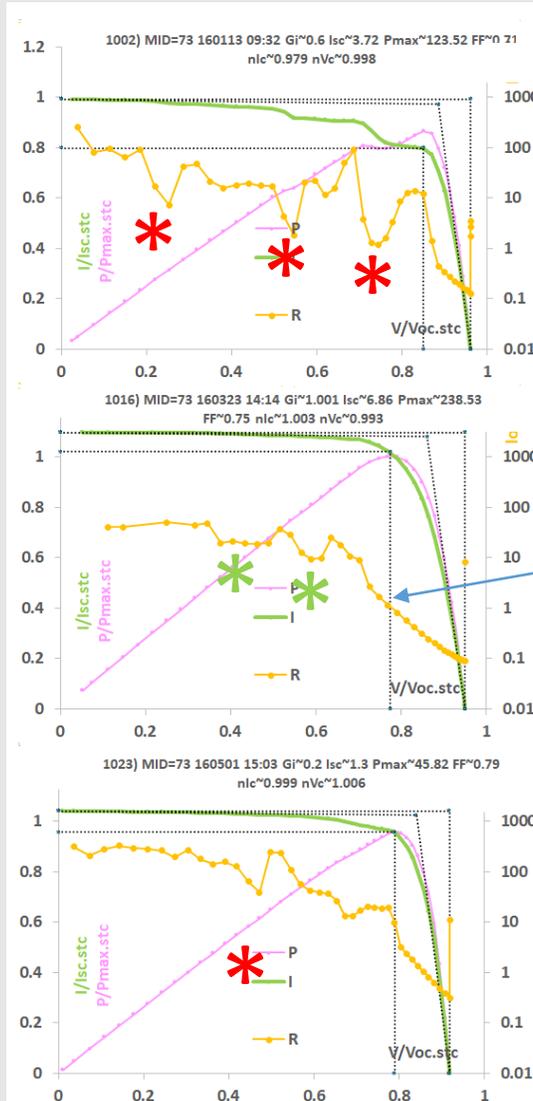
FF : Lower c-Si
nR_v : 3 Steps low < 10

MID DAY 14:14
HIGH IRRADIANCE 1.0kW/m²

FF : High
nR_v : Smooth low > 10

MID DAY 15:03
LOW IRRADIANCE 0.2kW/m²

FF : High
nR_v : Smooth low > 10



$nR_n = -\Delta V / \Delta I * R_{mp.stc}$
(should be 1 at V_{mp} for a “perfect” IV curve)

- Accurate IV data such as G_I's can be used to determine steps from shading and mismatch
- Each step has its own dip
- Rule of thumb: if a dip is below 10 it is noticeable

$nR_n = -\Delta V / \Delta I * rV_{mp} / rI_{mp}$ (should be 1 at V_{mp} for a “perfect” device as top)

Confidential | Subject to NDA

MORNING 09:32
MID IRRADIANCE 0.6kW/m²

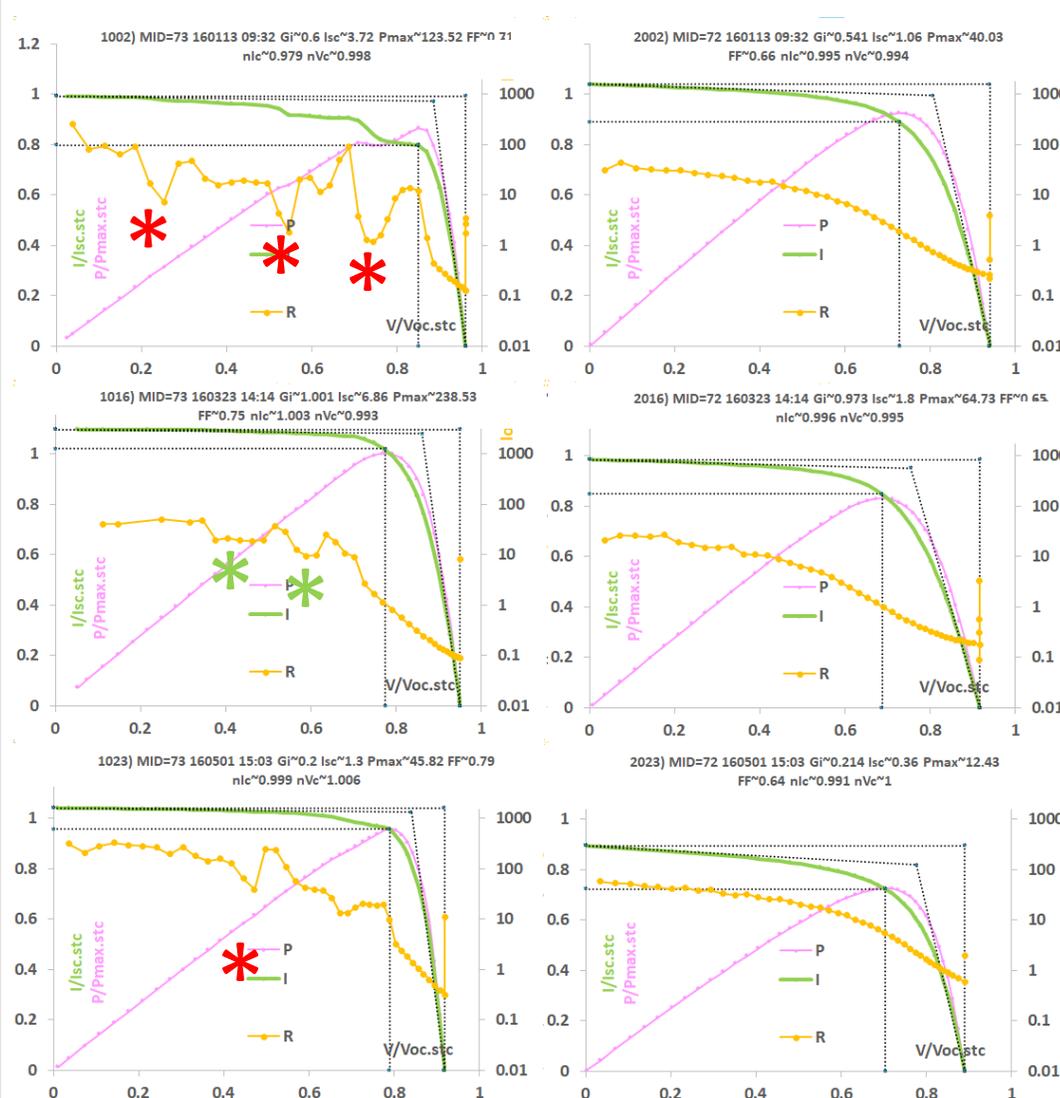
FF : Lower c-Si
nR_v : 3 Steps low < 10

MID DAY 14:14
HIGH IRRADIANCE 1.0kW/m²

FF : High
nR_v : Smooth low > 10

MID DAY 15:03
LOW IRRADIANCE 0.2kW/m²

FF : High
nR_v : Smooth low > 10



- Accurate IV data such as GI's can be used to determine steps from shading and mismatch
- Each step has its own dip
- Rule of thumb: if a dip is below 10 it is noticeable

Thin Film generally has lower FF than c-Si so doesn't show any large curvature effects

(Generalise naming : previous LFM had 6 parameters and is called LFM6)

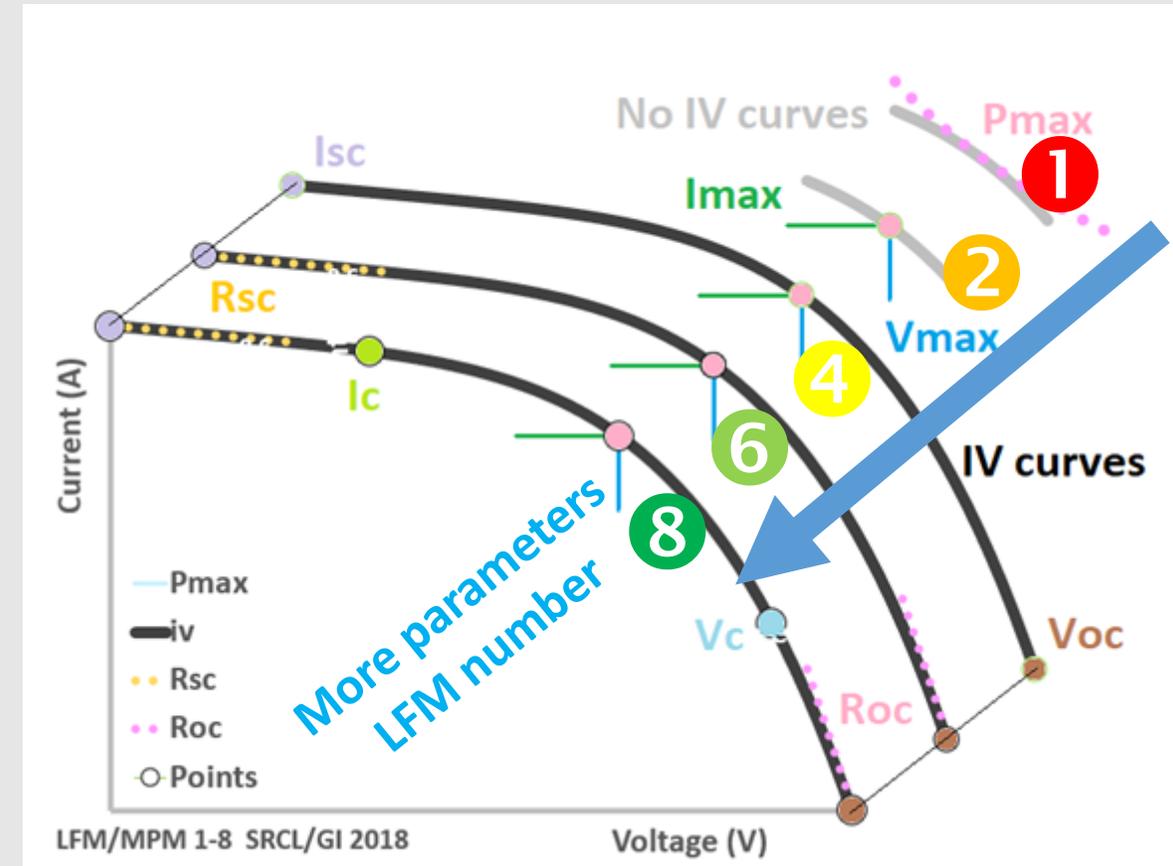
LFM# Parameters available and description (New)

- ① Pmax (no IV curve, tangent)
- ② Imax, Vmax (no IV curve, 2 points)
- ④ Isc, Imax, Vmax and Voc
- ⑥ Isc, Rsc, Imax, Vmax, Roc and Voc
- ⑧ Isc, Rsc, Ic, Imax, Vmax, Vc, Roc and Voc

Where

I_c = $I_{curvature}$ (measured vs. expected) at $V_{mp}/2$ due to cell mismatch, shading, non linear R_{shunt}

V_c = $V_{curvature}$ (measured vs. expected) at $I_{mp}/2$ due to roll over or other non ideal behaviour



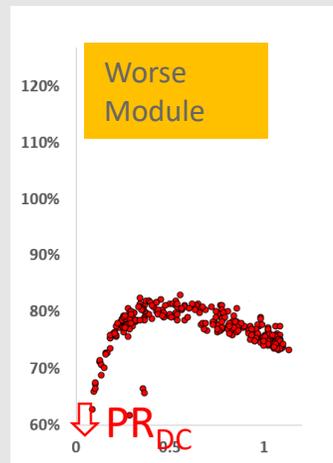
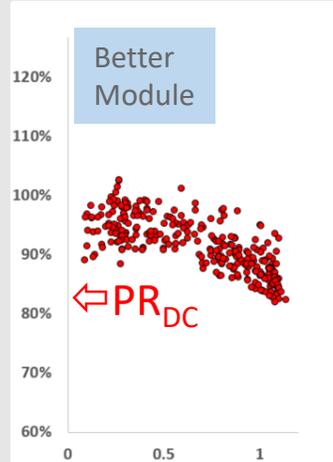
PV Performance can be understood better with more parameters to identify the real cause of changes and underperformance

Model and #parameters =

Which parameters make these modules differ?

① PR_{DC} at all irradiances and especially at low light

LFM1



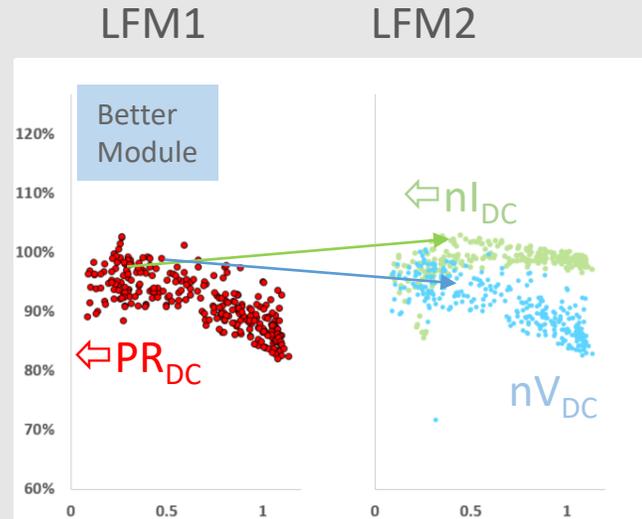
Irradiance kW/m²

PV Performance can be understood better with more parameters to identify the real cause of changes and underperformance

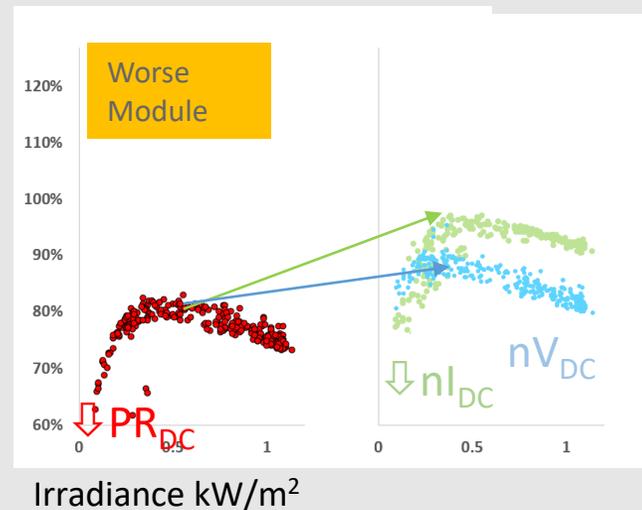
Model and #parameters =

Which parameters make these modules differ?

- 1 PR_{DC} at all irradiances and especially at low light
- 2 low light nl_{DC}



$$PR_{DC} \rightarrow nl_{DC} * nV_{DC}$$

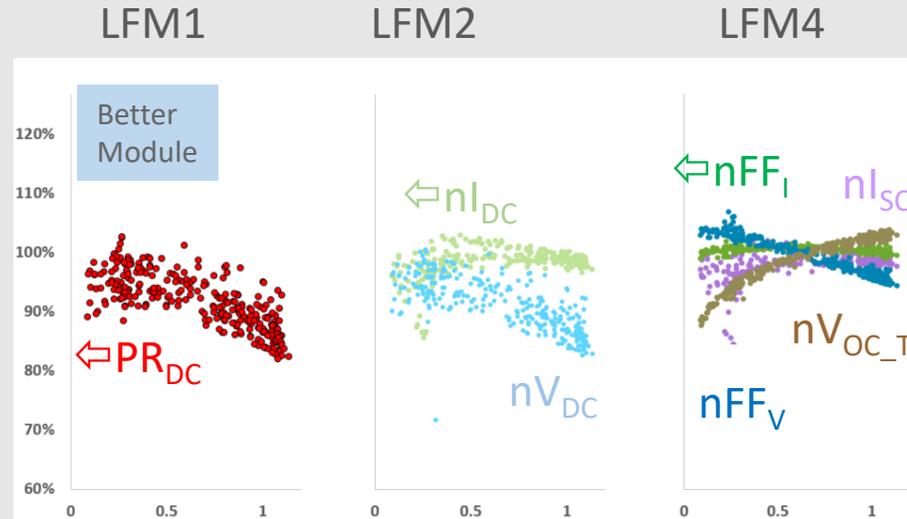


PV Performance can be understood better with more parameters to identify the real cause of changes and underperformance

Model and #parameters =

Which parameters make these modules differ?

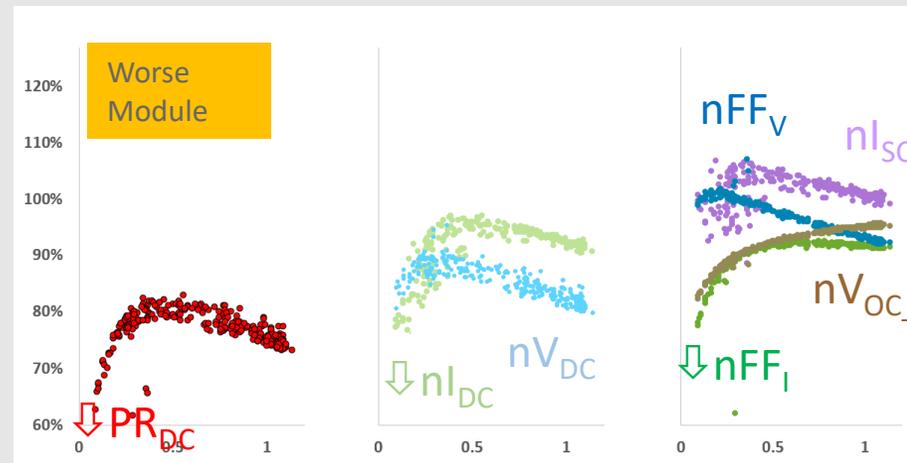
- ① PR_{DC} at all irradiances and especially at low light
- ② low light nI_{DC}
- ④ low light nFF_I



$$PR_{DC} \rightarrow nI_{DC} * nV_{DC}$$

$$nI_{DC} \rightarrow nI_{SC} * nFF_I$$

$$nV_{DC} \rightarrow nV_{OC} * nFF_V$$



Irradiance kW/m²

PV Performance can be understood better with more parameters to identify the real cause of changes and underperformance

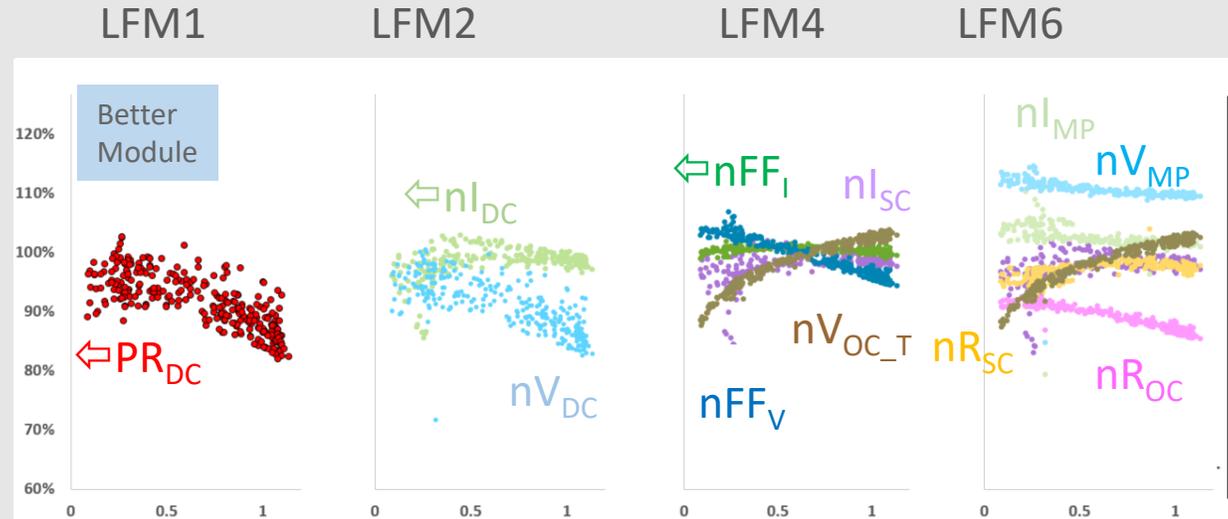
Model and #parameters =

Which parameters make these modules differ?

- ① PR_{DC} at all irradiances and especially at low light
- ② low light nI_{DC}
- ④ low light nFF_I

Analysing Resistance parameters needs good quality IV curves

- ⑥ low light nR_{SC} (better R_{SHUNT})
- high light nR_{OC} (lower R_{SERIES})



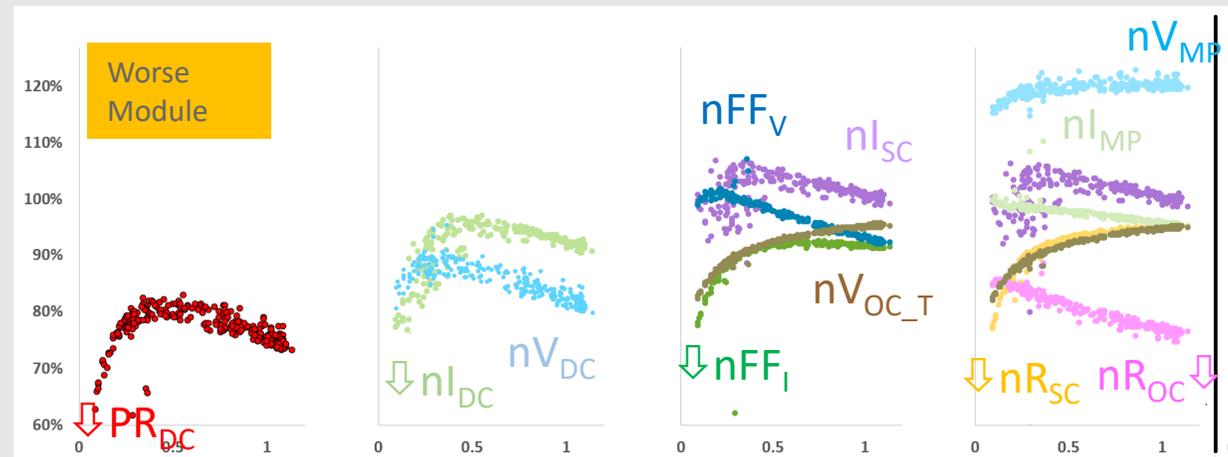
$$PR_{DC} \rightarrow nI_{DC} * nV_{DC}$$

$$nI_{DC} \rightarrow nI_{SC} * nFF_I$$

$$nFF_I \rightarrow nR_{SC} * nI_{MP}$$

$$nV_{DC} \rightarrow nV_{OC} * nFF_V$$

$$nFF_V \rightarrow nR_{OC} * nV_{MP}$$



Irradiance kW/m²

LFM6,8 Only possible with highest quality measurements

PV Performance can be understood better with more parameters to identify the real cause of changes and underperformance

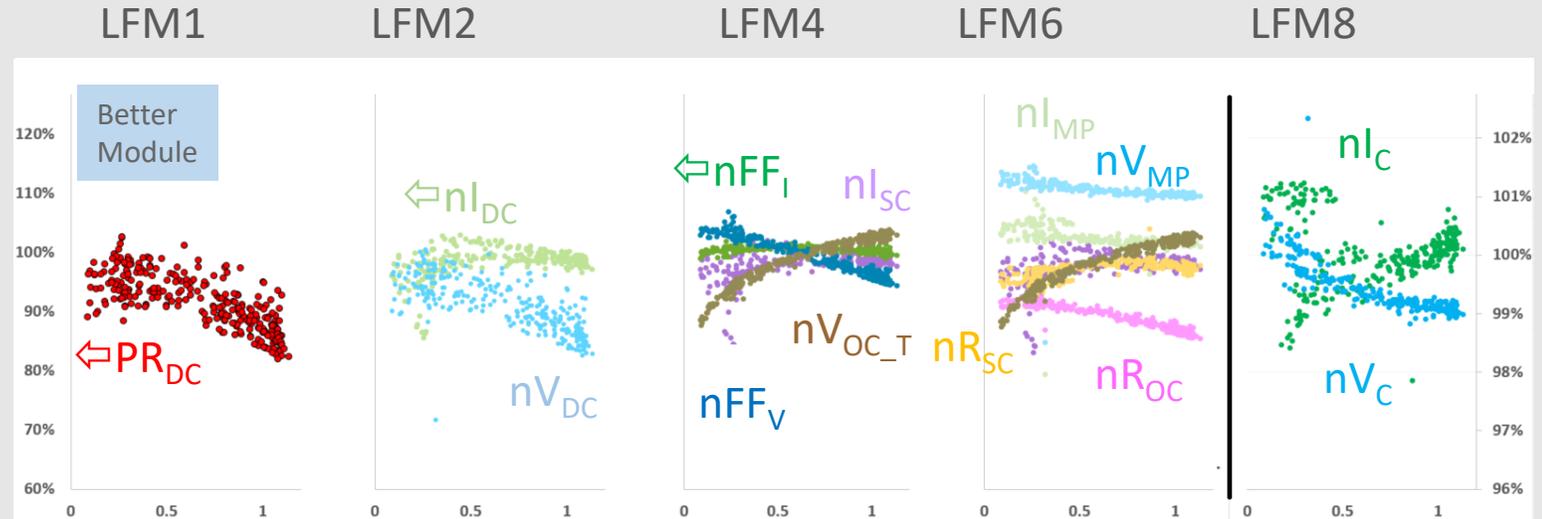
Model and #parameters =

Which parameters make these modules differ?

- ① PR_{DC} at all irradiances and especially at low light
- ② low light nl_{DC}
- ④ low light nFF_I

Analysing Resistance parameters needs good quality IV curves

- ⑥ low light nR_{SC} (better R_{SHUNT})
high light nR_{OC} (lower R_{SERIES})
- ⑧ Curvature parameters show more nl_C scatter in good module particularly at low light (cell mismatch and/or shading), poor module has lower FF so is less affected



$$PR_{DC} \rightarrow nl_{DC} * nV_{DC}$$

$$nl_{DC} \rightarrow nl_{SC} * nFF_I$$

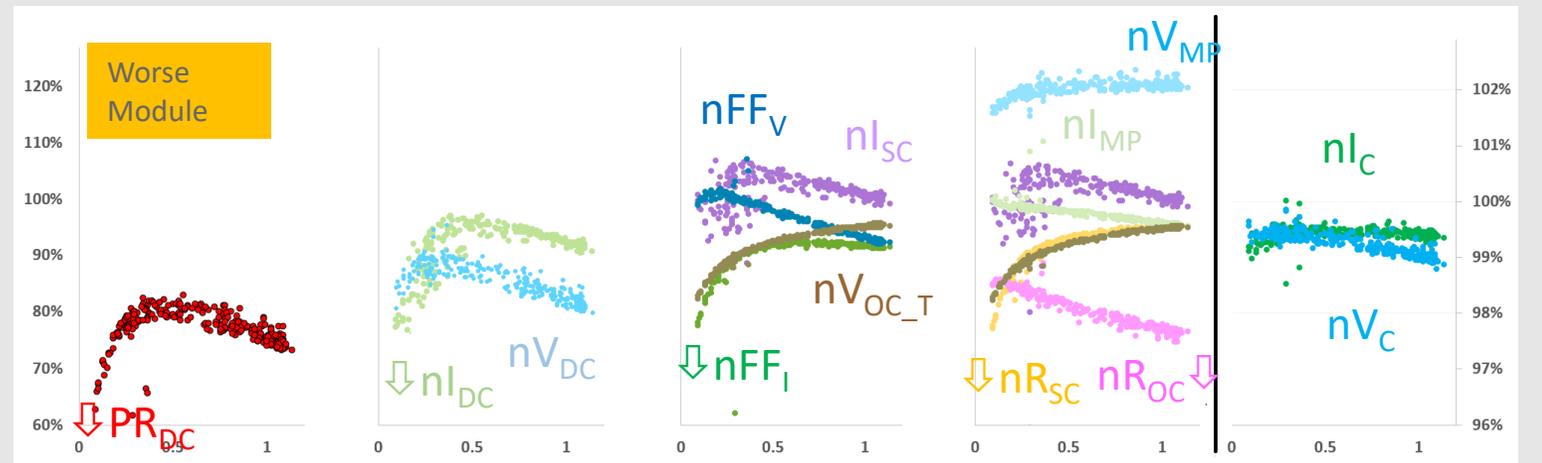
$$nFF_I \rightarrow nR_{SC} * nl_{MP}$$

$$nl_C \sim \text{cell mismatch}$$

$$nV_{DC} \rightarrow nV_{OC} * nFF_V$$

$$nFF_V \rightarrow nR_{OC} * nV_{MP}$$

$$nV_C \sim \text{Voc rollover}$$



Irradiance kW/m²

LFM6,8 Only possible with highest quality measurements

Using yearly matrix averages to determine outdoor performance

3 LFM parameters vs. G_1 and T_{MOD} (coloured dots) for two technologies (GI, 2014)

LFM parameter:

Degrading thin film #11

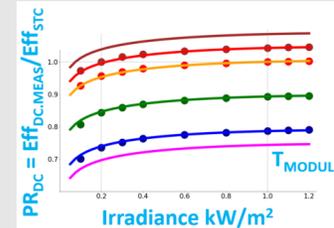
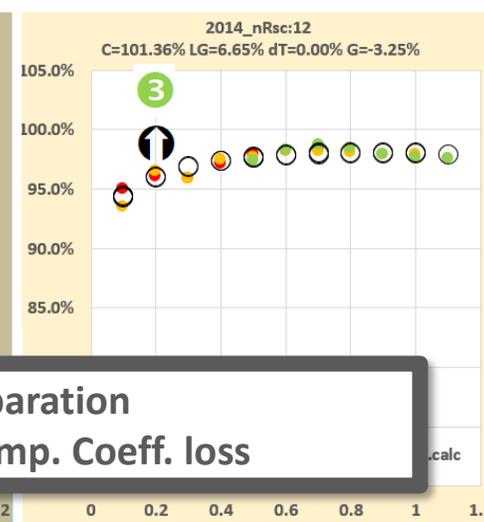
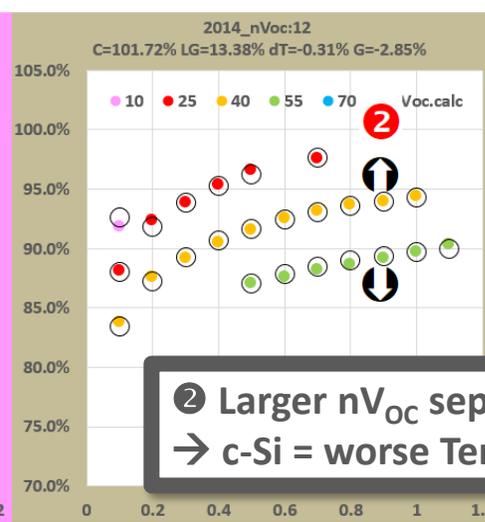
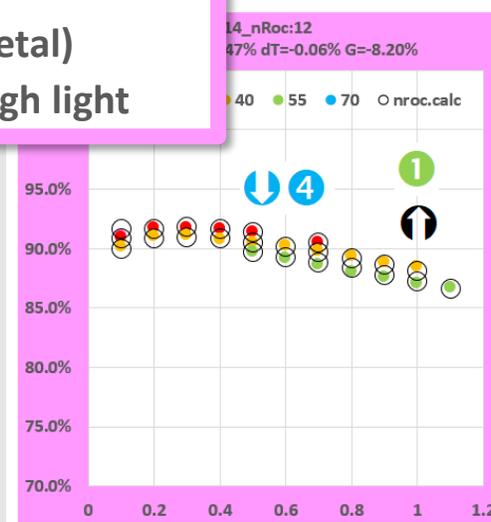
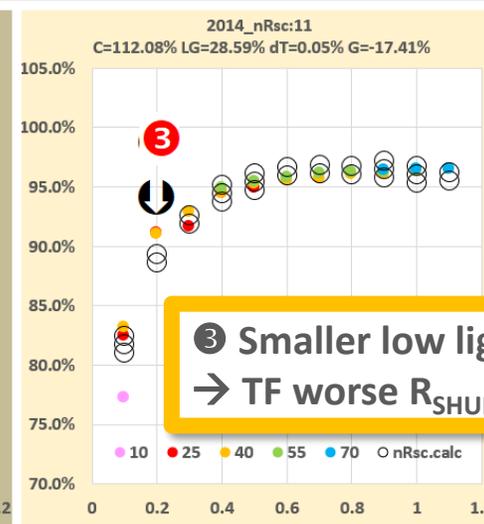
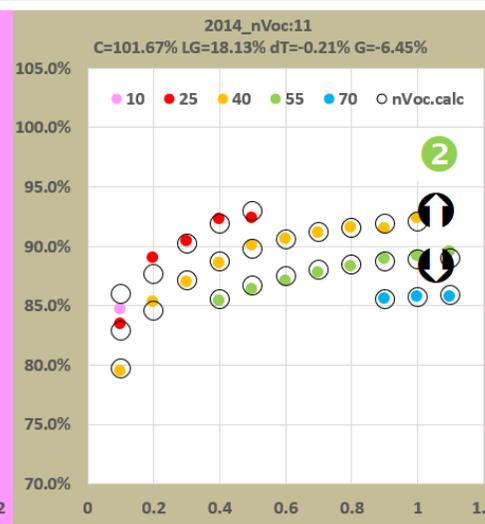
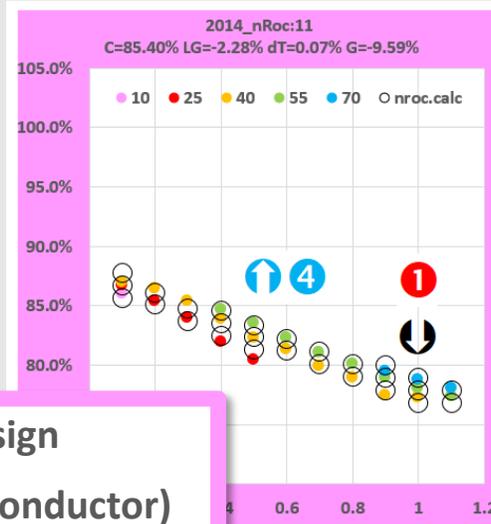
4 R_{SERIES} Temp. Coeff. sign
 TF +0.07%/K (TCO semiconductor)
 c-Si -0.06%/K (tabbing metal)
 1 Thin film worse at high light

Stable c-Si #12

$nR_{OC} (\sim R_{SERIES})$

$nV_{OC} (\sim V_{OC})$

$nR_{SC} (\sim R_{SHUNT})$



Similar to to PRDC

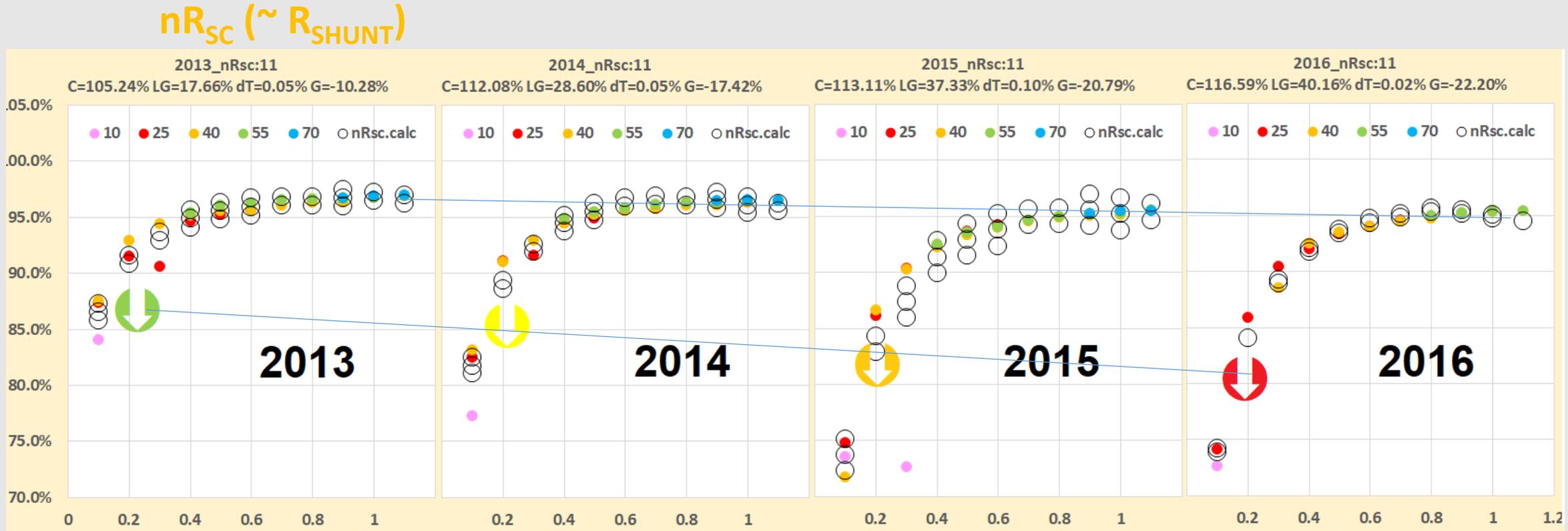
3 Smaller low light nR_{SC}
 → TF worse R_{SHUNT} Loss

2 Larger nV_{OC} separation
 → c-Si = worse Temp. Coeff. loss

- 10
- 25
- 40
- 55
- 70

Confidential | Subject to NDA

nR_{SC} vs. G_i : Finding the cause and rate of drop of a degrading module 2013-16 (GI)



7% Performance fall at low light in 3 years is caused by degrading R_{SHUNT} causing nR_{SC} to reduce but at high light remains similar (GI data)

As PR_{DC} is the product of six coefficients –
any drop or change has a direct influence on PR_{DC} and therefore energy yield.

T_{MODULE} (C)

- 10
- 25
- 40
- 55
- 70

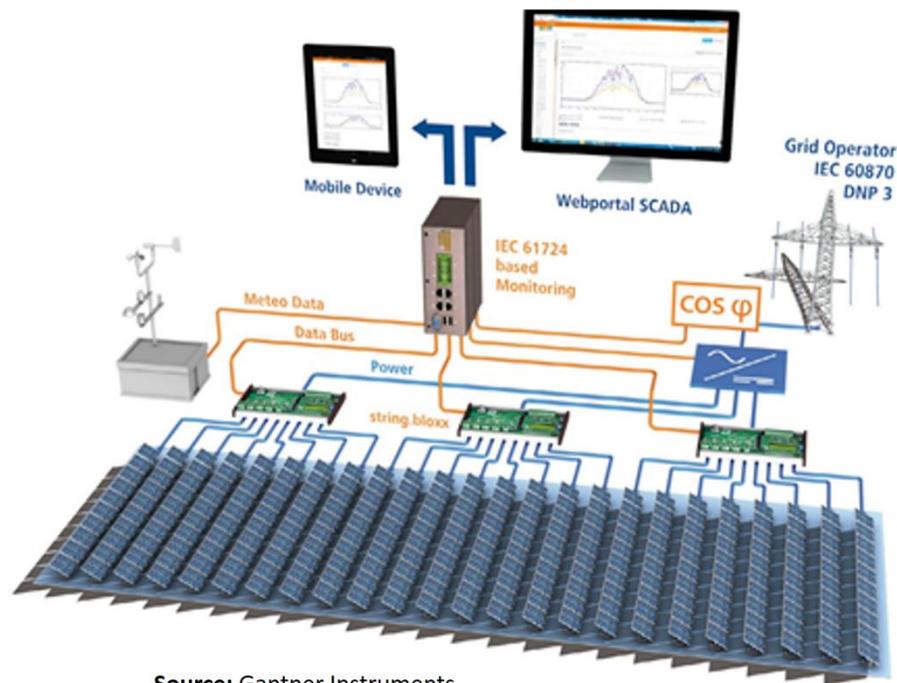
Large array UK variable weather day LFM 2 (I_{DC} and V_{DC}) predicted performance (real time)

Confidential | Subject to NDA





IPERMON



Source: Gantner Instruments

Partners: Gantner Instruments and University of Cyprus

Project: IPERMON [Solar-ERA.net project]

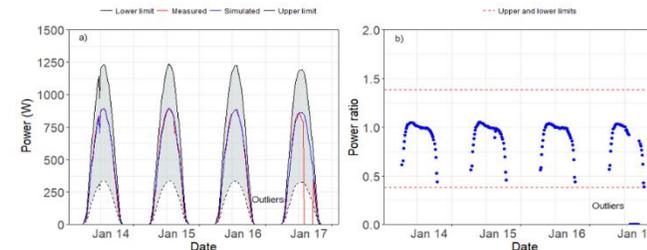
Budget: €400,000

Duration: 36 Months

Weblink:

<http://www.pvtechnology.ucy.ac.cy/projects/ipermon/>

Development of innovative condition monitoring platform (algorithms and devices to quantify performance loss, diagnose faults and estimate degradation from acquired data).



- Sophisticated modelling and analysis can be performed using the combined MPM/LFM method
- The normalised RV method shows data quality and also analyses steps from cell mismatch or shading
- If IV curves are not available (e.g. large arrays) then a good understanding of PV performance can be derived.
- With good IV curves (as from Gantner) the causes of any underperformance and rates of any PV degradation (e.g. " R_{SHUNT} at low light") can easily be found using these methods
- Scalable fault detection and analytics are available on the Gantner webportal available serving industry requirements

Thank you for your attention

[1] Ransome et al, 44th PVSC 2017 Washington DC "How to Choose the best Empirical Model for Optimum Energy Yield Predictions"

[2] Shiga " 7TuPo "QUANTIFYING AND ANALYSING THE VARIABILITY OF PV MODULE RESISTANCES RSC AND ROC TO UNDERSTAND AND OPTIMISE KWH/KWP MODELLING"

[3] Shiga "7MoO.5.4 "OPTIMISED FITTING OF INDOOR (E.G. IEC 61853 MATRIX) AND OUTDOOR PV MEASUREMENTS FOR DIAGNOSTICS AND ENERGY YIELD PREDICTIONS"

[4] <http://www.gantner-webportal.com>

[5] Optimized PV Performance using State of the Art Monitoring for Increased Asset Value PVPMC8 2017

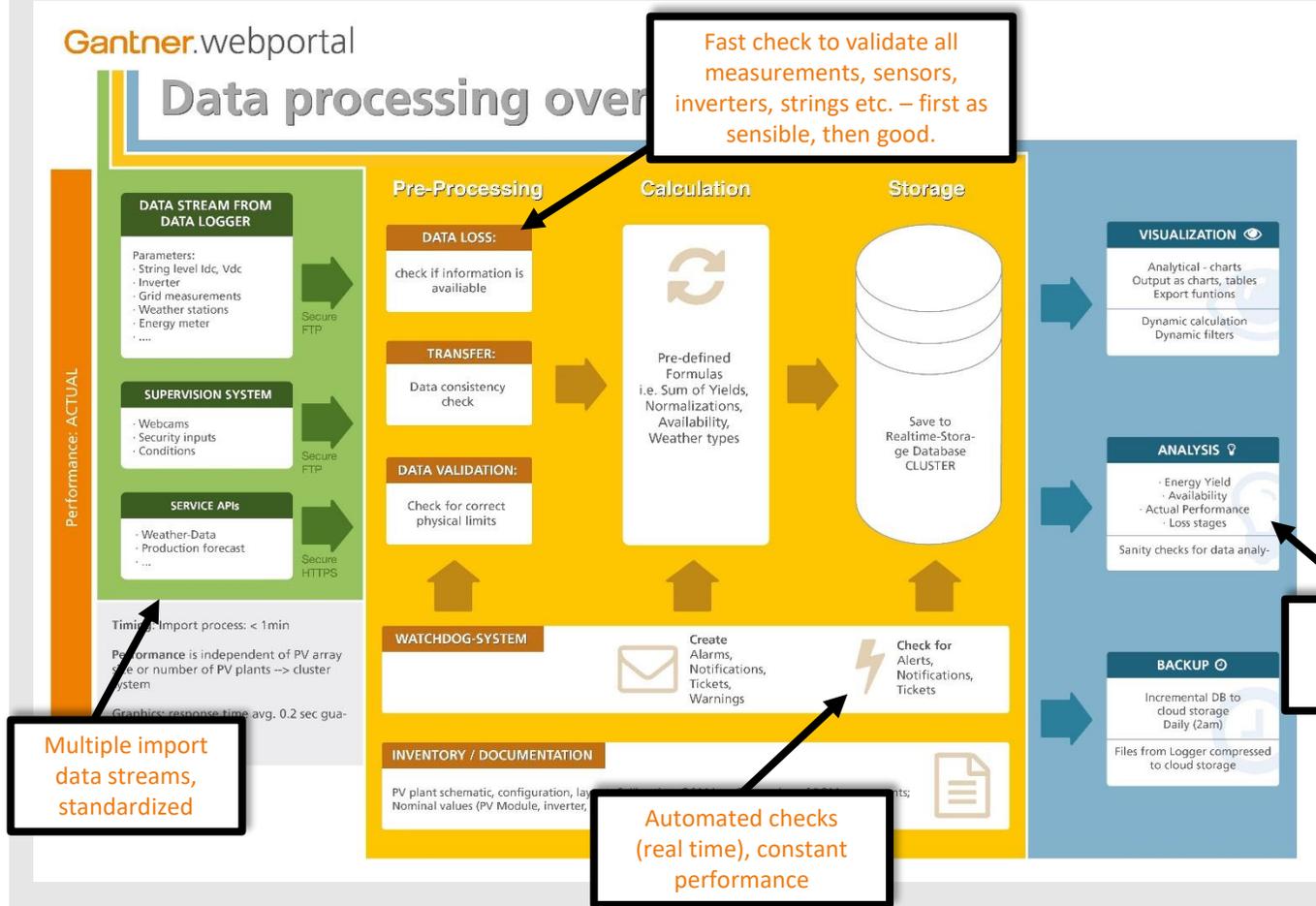


Spare slides



Gantner.webportal

Data processing overview



Multiple import data streams, standardized

Fast check to validate all measurements, sensors, inverters, strings etc. – first as sensible, then good.

Regular sanity checks

Automated checks (real time), constant performance

Data processing - real time

Multiple sources need harmonized data concept

- Naming convention:
 - For fast and easy use
 - Structure: {Parameter}_{Modifier}-{Component}-{ID}

Example:
 Pdc -Inv-1.1.1-1,
 Pdc_T-Inv-1.1.1-1
 Gi-GiPyr-1.1
 Idc-Mpp-1.01.01.03.1

- Color code & Unit convention
- Filters/Limits:
 - Run all data sets with standardized filters
- Converter for 3rd party

