

# Best-Practices in PV Plant Performance Degradation Benchmarking

Impact of filtering criteria and  
aggregation methods

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# What is the Electric Power Research Institute (EPRI)?

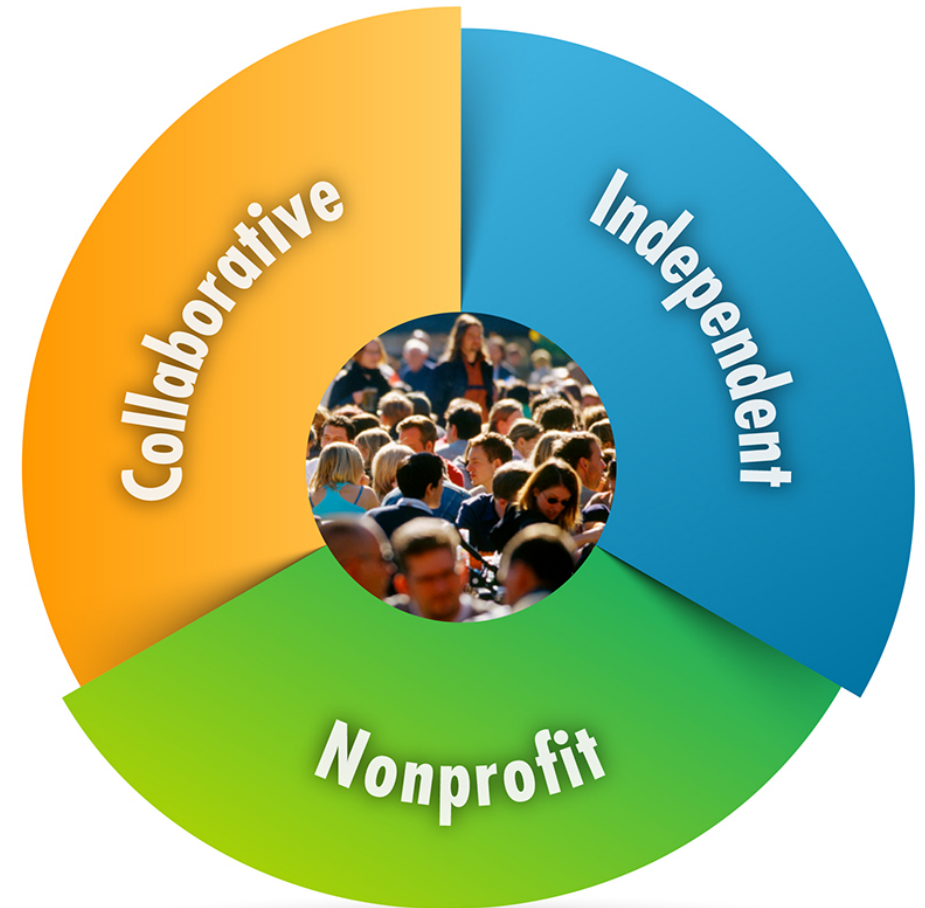
- **Mission**

Advance *safe, reliable, affordable, and environmentally responsible* electricity for society through global collaboration, thought leadership and science & technology innovation

- **Members**

450+ participants in more than 30 countries

*EPRI members generate approximately 90% of the electricity in the United States.*



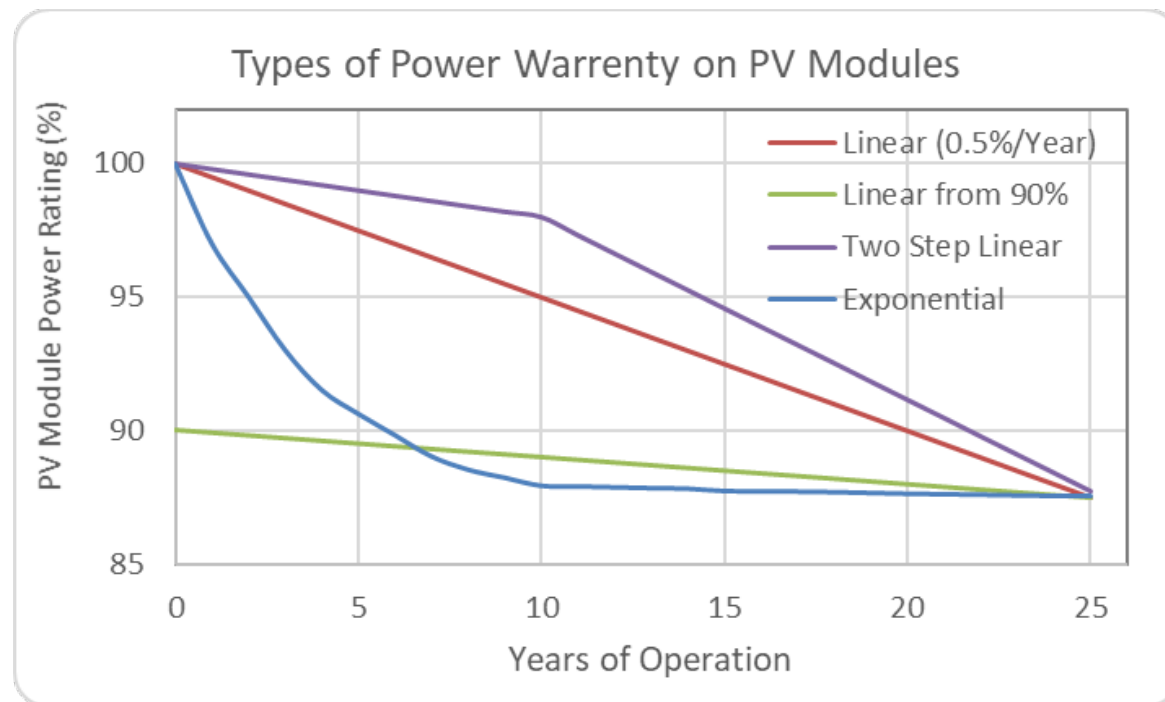
# Knowing degradation is important for LCOE!

Degradation is a ***gradual and irreversible loss of performance***

- Measured by nameplate percent decrease over time (usually per year)
- Baselined against initial capacity (nameplate or performance)

The ***degradation rate*** is required...

- to estimate plant output energy over its lifetime
- to calculate the levelized cost of electricity (LCOE)



Example power warranties from PV module OEMs

$$LCOE (\$/kWh) = \frac{\text{Lifetime Cost of a Plant } (\$)}{\text{Lifetime Energy Production } (kWh)}$$

$$\text{Lifetime Energy Production} = f(\text{Degradation Rate})$$

# Lots of degradation data, what rate is right for large plants?

A wide *variation* of degradation rates is reported

- More than 11,000 degradation rates in almost 200 studies from 40 different countries

Most studies to-date analyze the degradation rate of a *single module or a few modules per system*

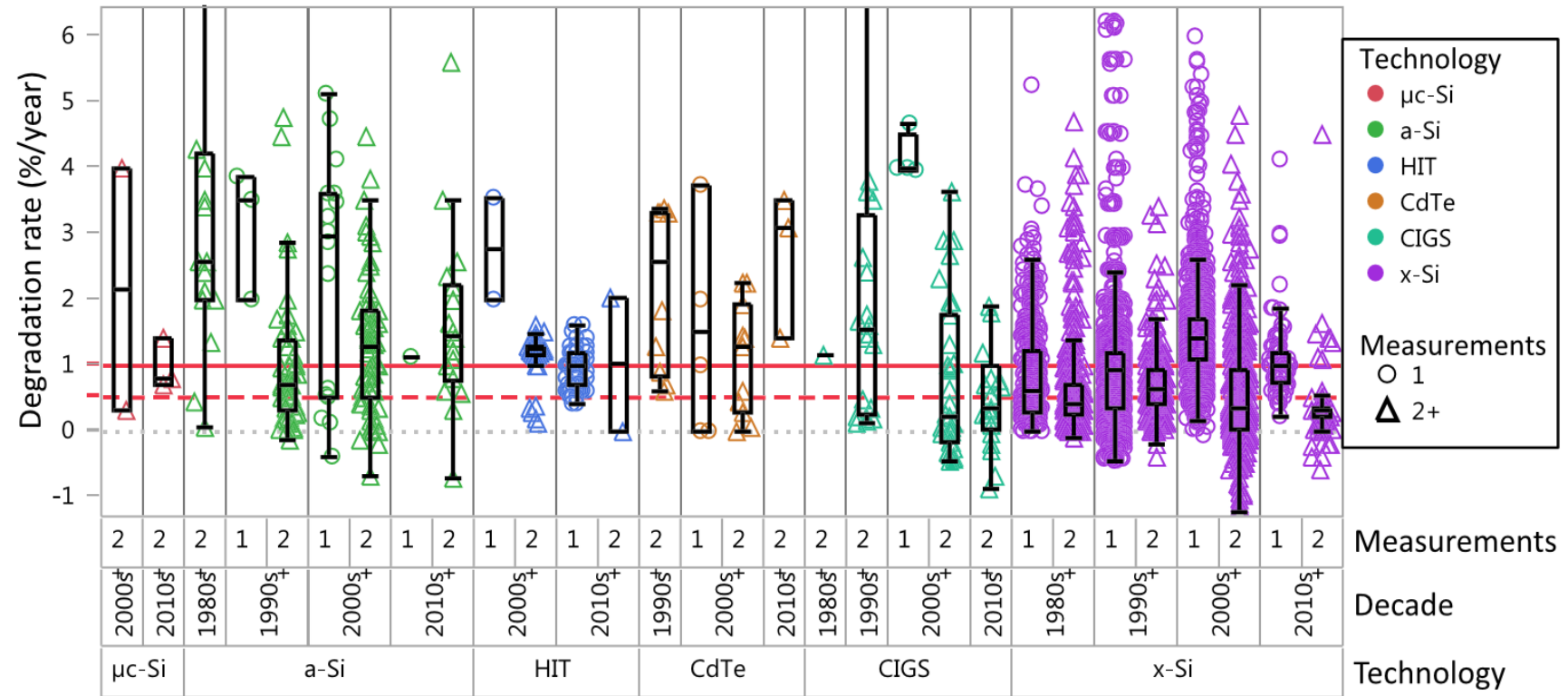


Image Source: Jordan, D.C., et al., (2016). "Compendium of photovoltaic degradation rates," *Prog. Photovolt.: Res. Appl.* 2016.

**Degradation rate of components may not be appropriate for plants**



# Near-term Goal: Benchmark Degradation

- Large scale - using commonly available time-series data
- Opportunities and challenges with using performance data

## Key Research Questions

- How do common industry values of 0.5 – 1.0%/yr compare reality for utility-scale plant-level degradation?
- How does the degradation rate of a plant or fleet compare across the industry?
- What factors influence degradation?

## Objective and Approach

- Standardize calculation methodology for apples-to-apples comparison
- Intake data from EPRI members and external participants (allowing anonymization)
- Analyze degradation of participants' plants with RdTools Library
- Provide anonymized database of results

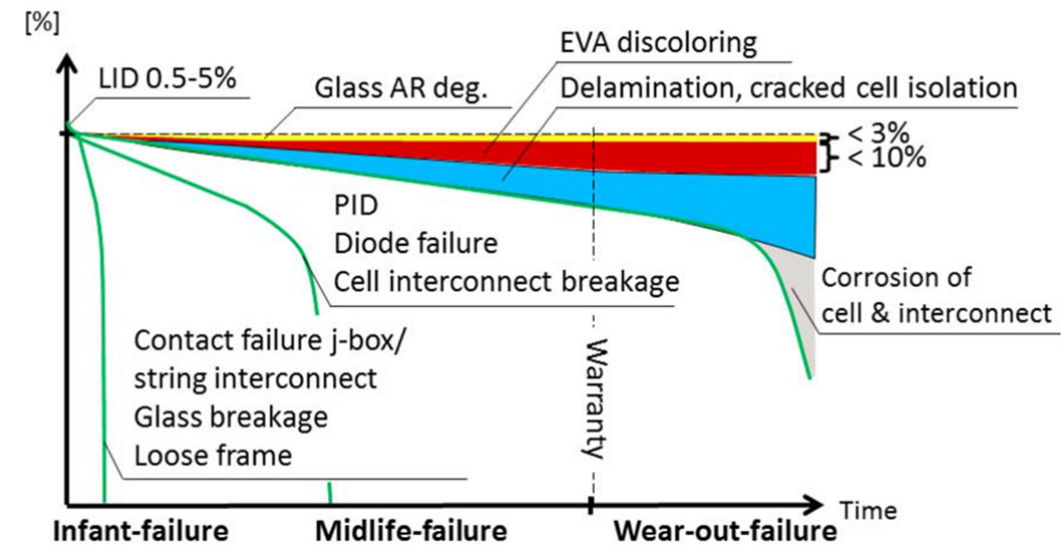


Image Source: *Review on Failures of PV Modules*. IEA-PVPS. Paris, France:2013.

# Industry-wide Conceptual Collaboration Model



Performance data  
(Fleet-A)



Performance data  
(Fleet-B)



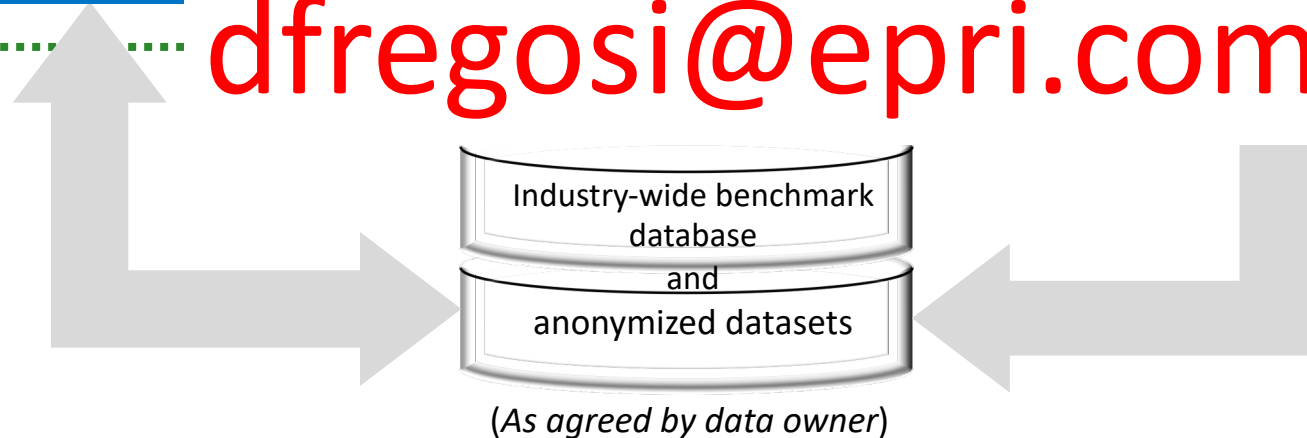
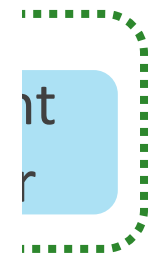
Performance data  
(Fleet-C)

Consistent calculation methodology to be developed.

Example dataset used to confirm analytical setup correct.



Please Participate!  
[dfregosi@epri.com](mailto:dfregosi@epri.com)



**Unite parallel degradation analysis efforts currently underway**

# Calculating Degradation Rate:

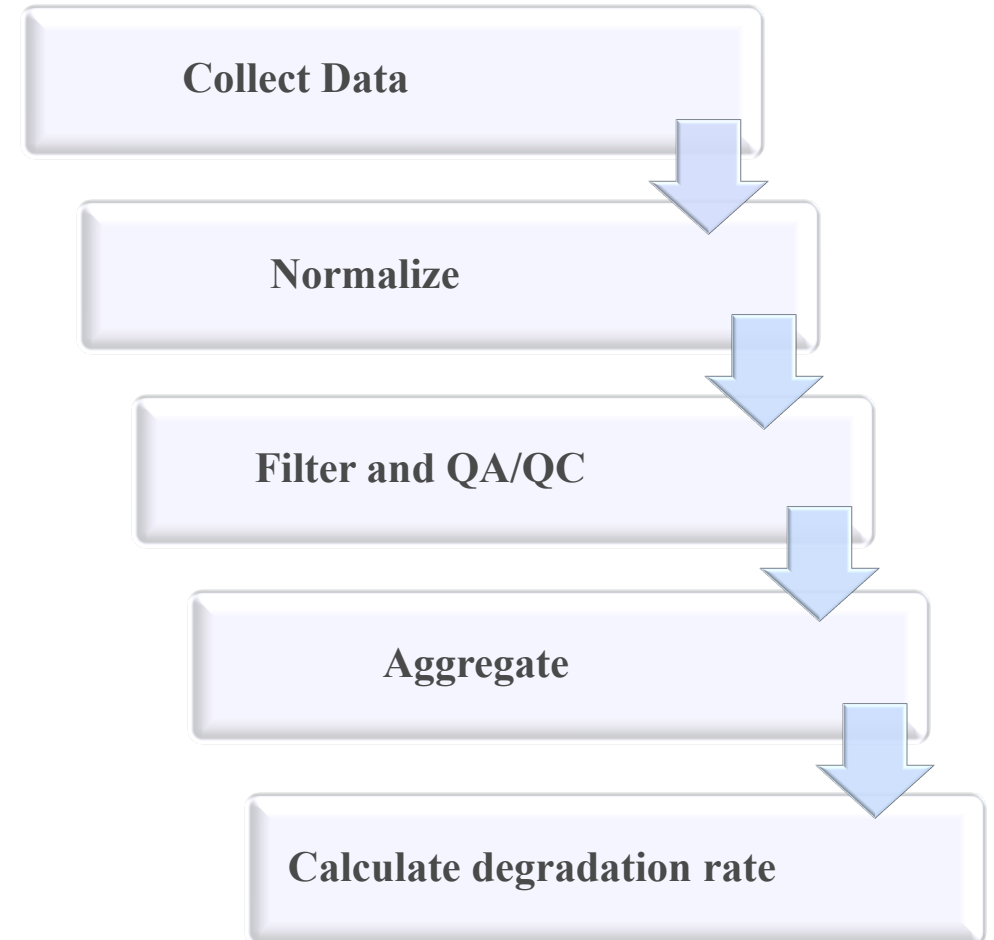
Analytical software package developed by NREL: RdTools

- Python-based software
- <https://github.com/nrel/rdtools>

Reference report

- D. Jordan et al. "[Robust PV Degradation Methodology and Application](#)", IEEE Journal of Photovoltaics, 2017

General steps to calculate degradation rate from performance data

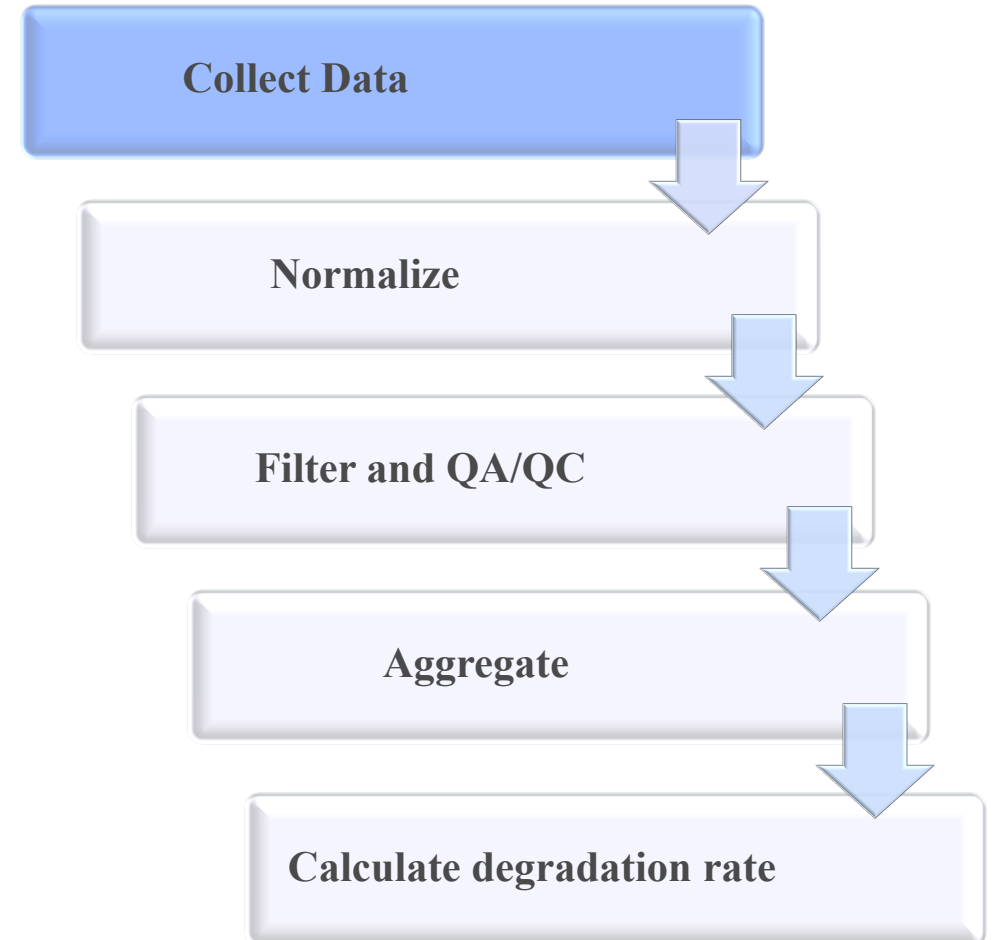


# Calculating Degradation Rate:

- Power
- Weather
  - Plane-of-array irradiance
  - Ambient temperature
- Metadata
  - Nameplate capacity
  - Latitude and Longitude
  - Racking info (tilt angle, tracking, azimuth)
  - Module temperature coefficient

*At least 2 years  
of performance  
data needed  
(longer  
preferred)*

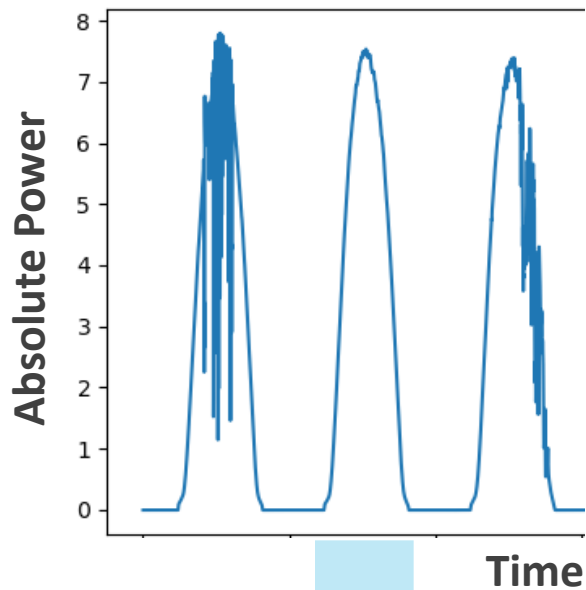
## General steps to calculate degradation rate from performance data



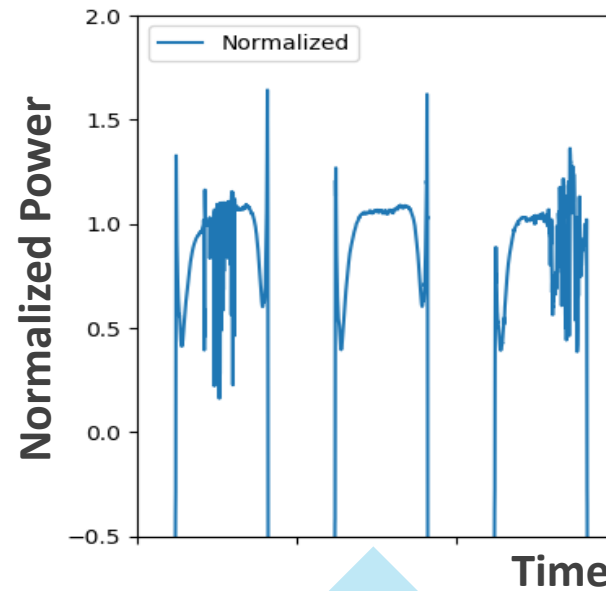


# Calculating Degradation Rate: Year-on-Year Histograms

Power from revenue grade meter at interconnection

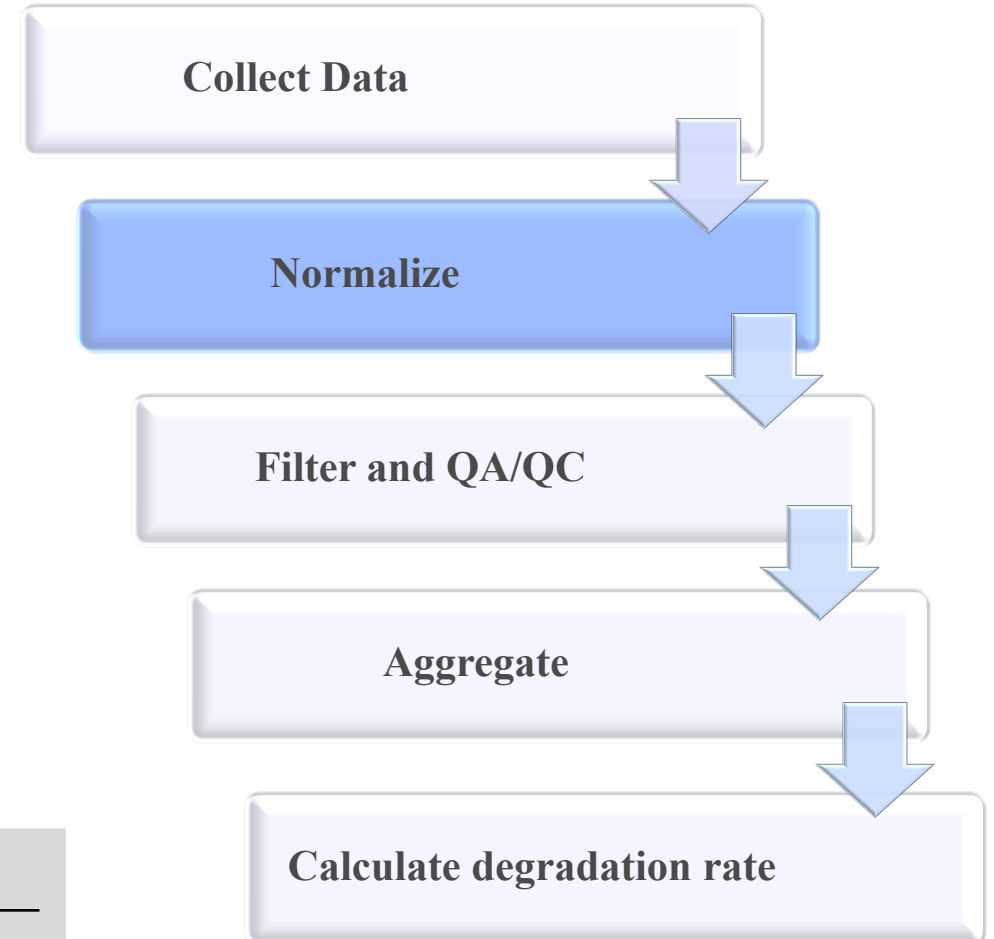


Temperature-corrected performance ratio



$$\frac{\text{Actual (measured)}}{\text{Expected (modeled)}} = \frac{\sum_i P_{AC} [kWh_{AC}]}{\sum_i \left( P_{Array} \cdot \left( \frac{G_{POA,i}}{G_{ref}} \right) \times \left( 1 + C_t \cdot (T_{m,i} - T_{Ref}) \right) \right) [kWh_{DC}]}$$

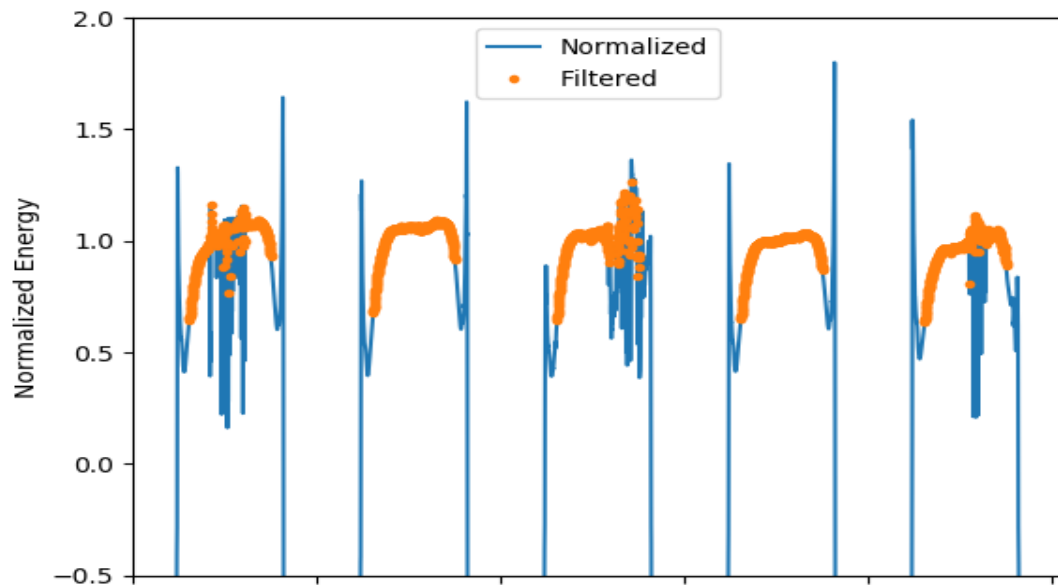
General steps to calculate degradation rate from performance data



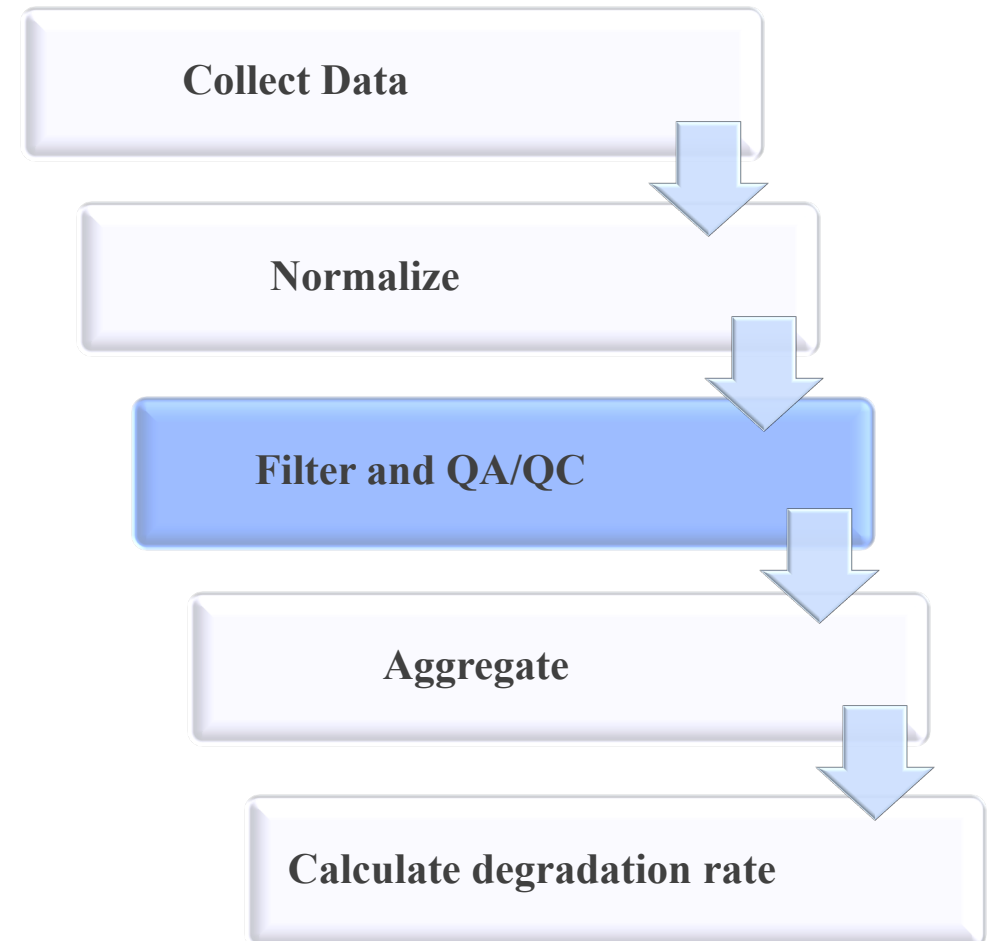
# Calculating Degradation Rate: Year-on-Year Histograms

Remove factors outside of the scope of degradation

- Weather (irradiance, clear sky)
- Transients ( $\Delta$ Temp. and  $\Delta$ Irradiance)
- Clipping, site specific performance impacts, etc.

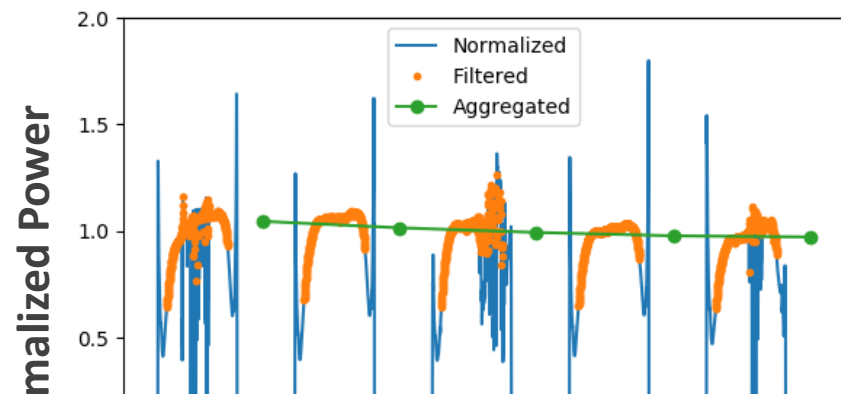


General steps to calculate degradation rate from performance data

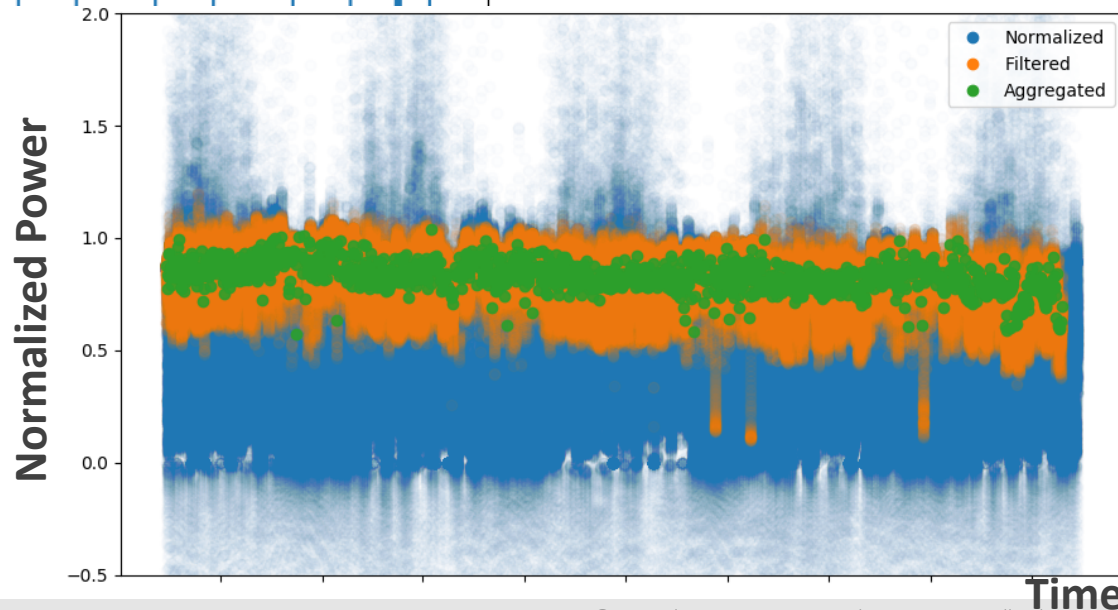


# Calculating Degradation Rate: Year-on-Year Histograms

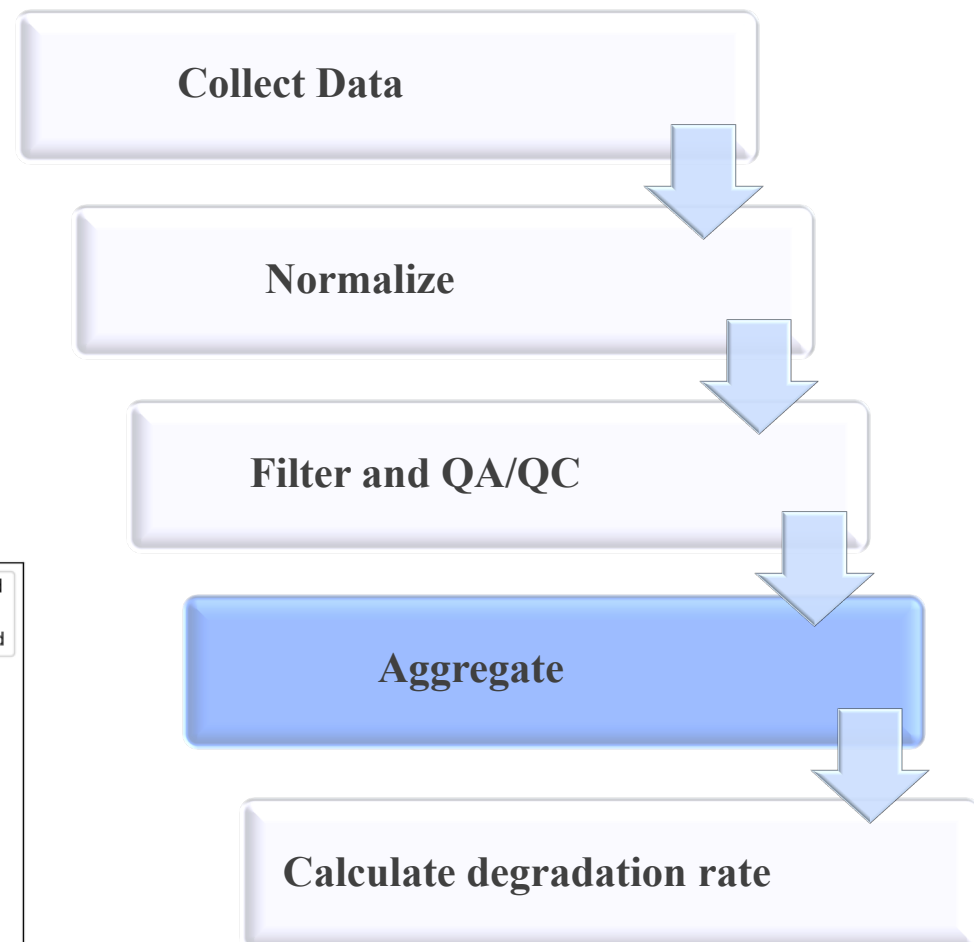
- Aggregation period can be minutes, hours, days, etc.



*Green dots are daily averages of performance ratio.*

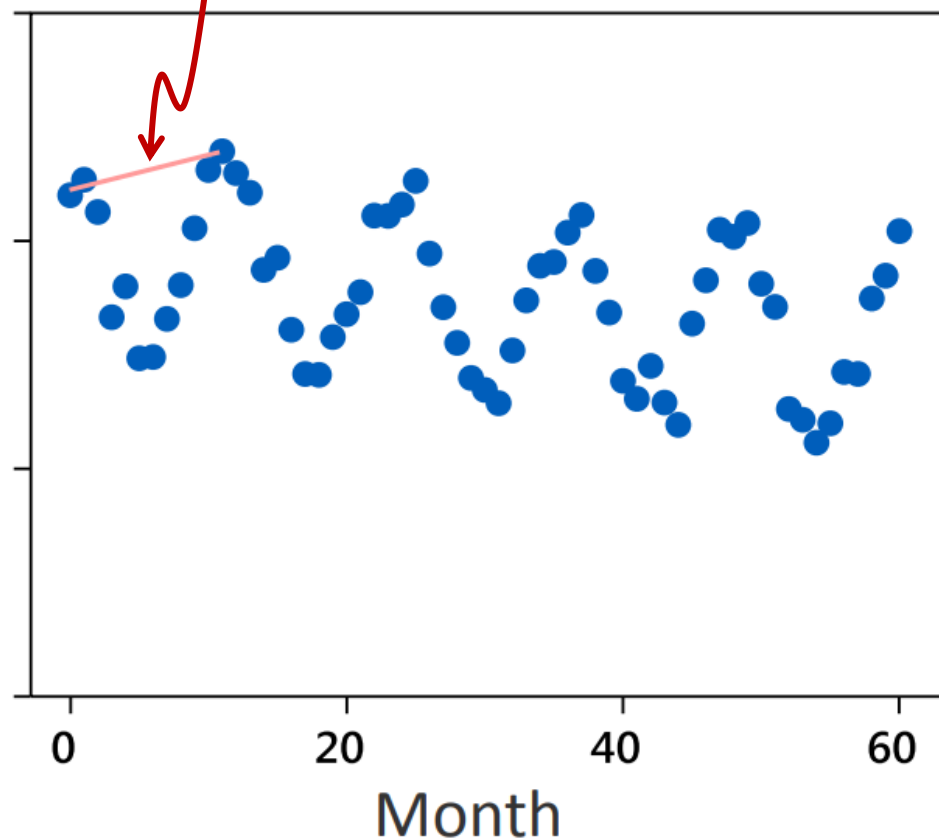


General steps to calculate degradation rate from performance data

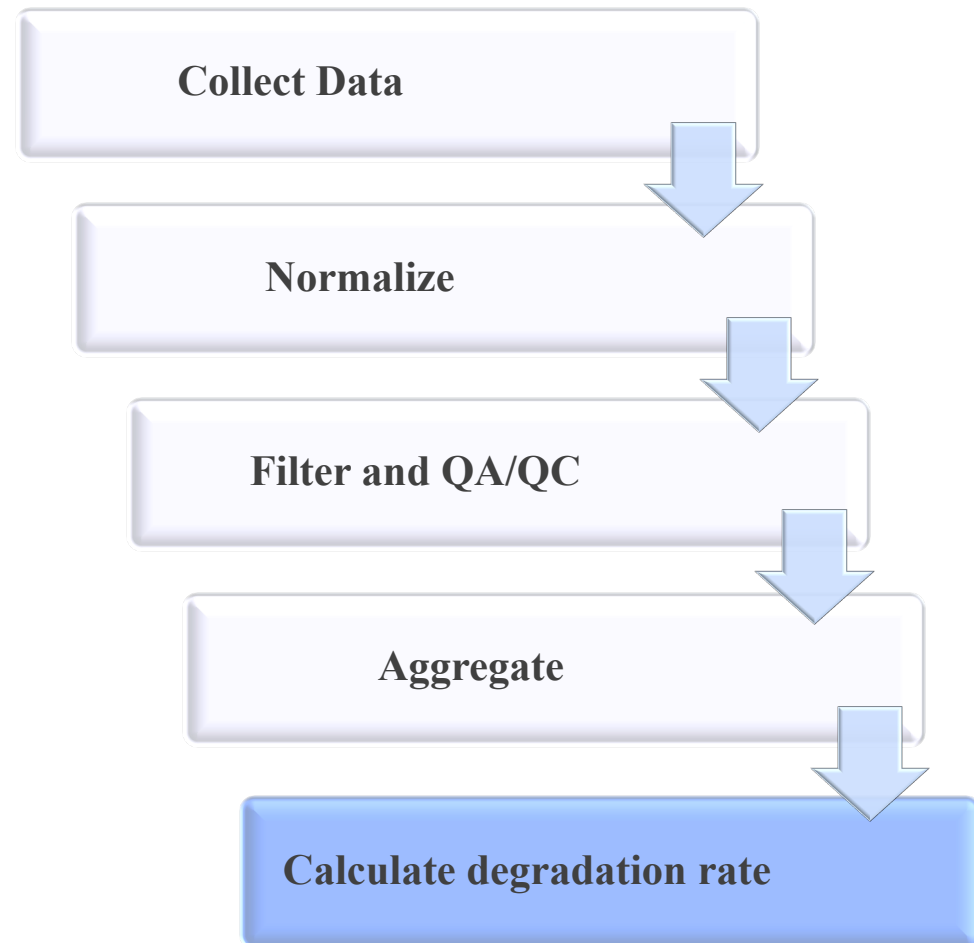


# Calculating Degradation Rate: Year-on-Year Histograms

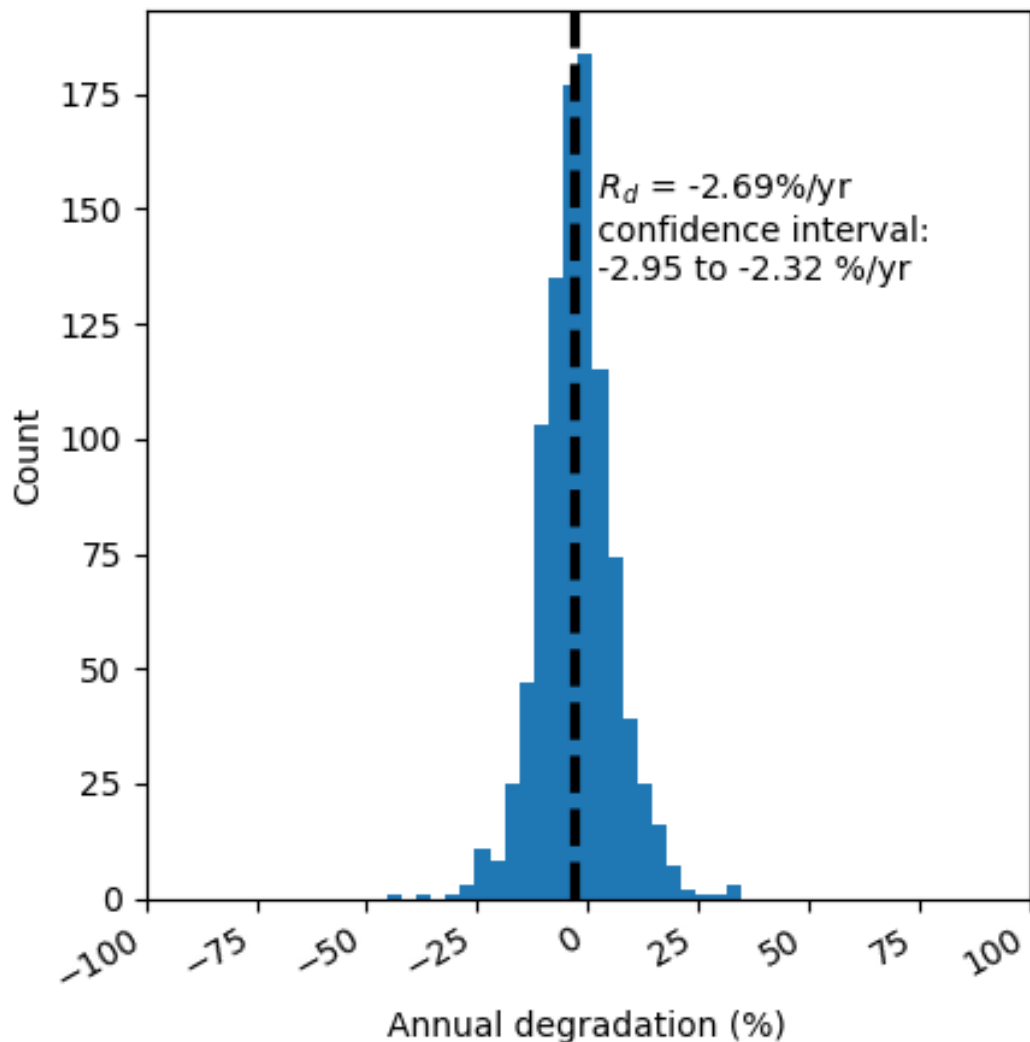
Compare aggregated point from one year to the next.  
Example of daily aggregation: Jan. 1, 2000 to Jan. 1, 2001



General steps to calculate degradation rate from performance data



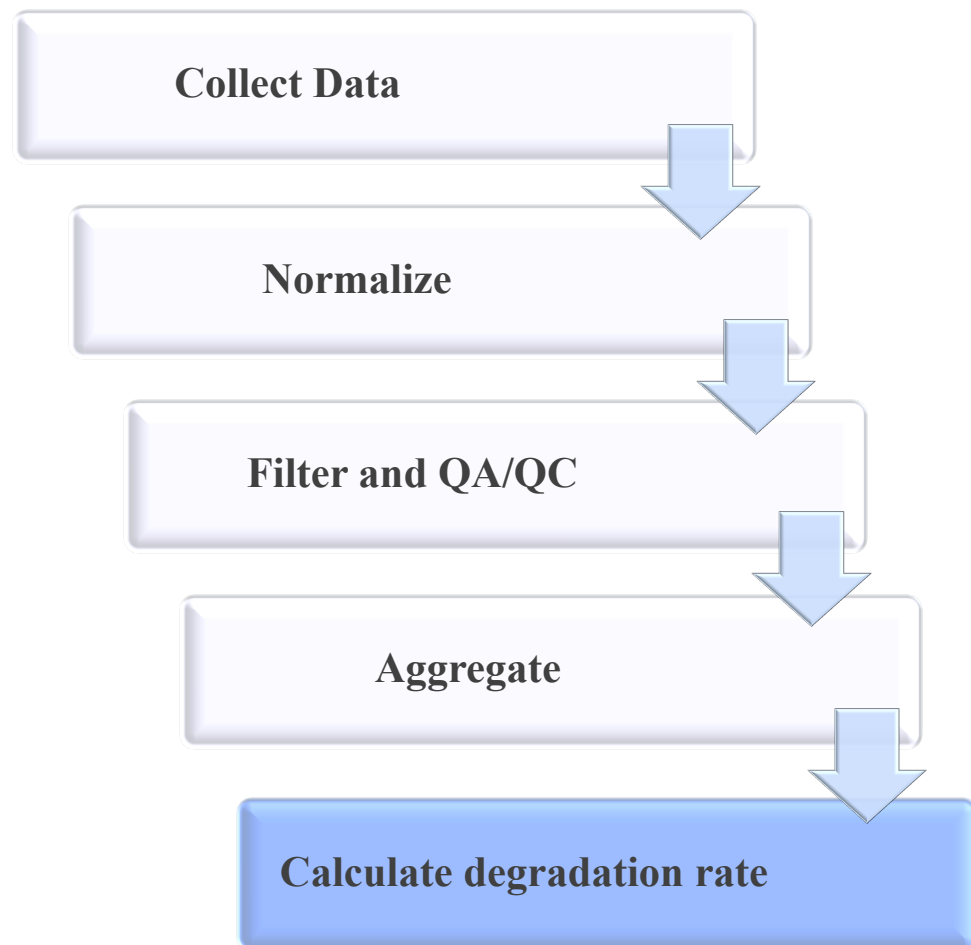
# Calculating Degradation Rate: Year-on-Year Histograms



Plot histogram  
of power  
change.

Use *median*  
value as the  
degradation  
rate.

General steps to calculate degradation  
rate from performance data





# Best Practices Questions

1. What are the proper filtering criteria and aggregation methods?

Pre-Processing Filters (IEC 61724-3 Suggested)	
Missing Data	Remove
Multiple Sensors (Irradiance /Temp)	If drift: remove data, else: average
Power	0-1.02*Nameplate AC
POA	-6 to 1500 W/m <sup>2</sup>
Ambient Temp	-30 to 50 °C

“Default” Filter Settings	
Irradiance	Clear Sky
Temperature	Sensed Ambient
POA Filter	200-1200 W/m <sup>2</sup>
Clipping Filter	Power < 99% of 98 <sup>th</sup> Percentile
Clear Sky Index	±20% of Sensor
Aggregation	Daily

# Best Practices Questions

1. What are the proper filtering criteria and aggregation methods?
2. What is inside/outside envelope of degradation?
  1. Uncorrected maintenance? Foliage growth? Inverter issues?
  2. Is it feasible to separate?
  3. Rename to performance loss rate?

# Best Practices Questions

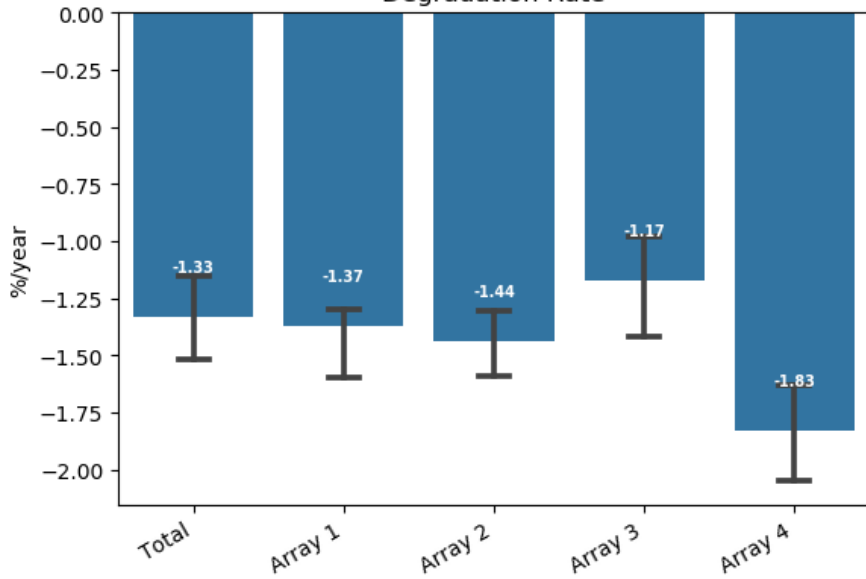
1. What are the proper filtering criteria and aggregation methods?
2. What is inside/outside envelope of degradation?
3. What is the sensitivity to errors in estimating clear sky irradiance?
  1. Tracking Angle
  2. Cloud Detection
  3. Timestamp

# Best Practices Questions

1. What are the proper filtering criteria and aggregation methods?
2. What is inside/outside envelope of degradation?
3. What is the sensitivity to errors in estimating clear sky irradiance?
4. How can calculated results be validated?

### 1 MW, 2012, Fixed Tilt

Degradation Rate



-1.33

-2.45

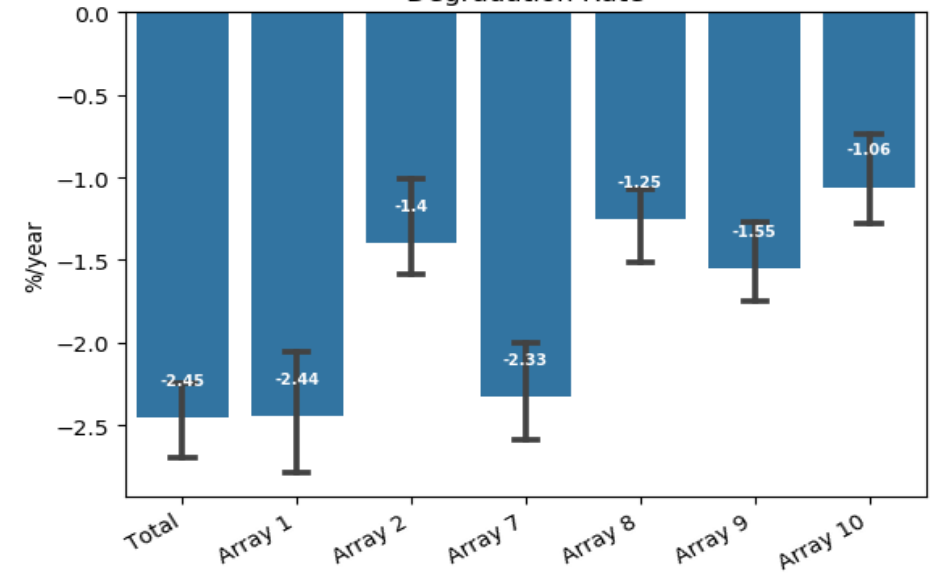
YoY, Median Rd,  
68% Confidence  
Interval

0.01

-2.08

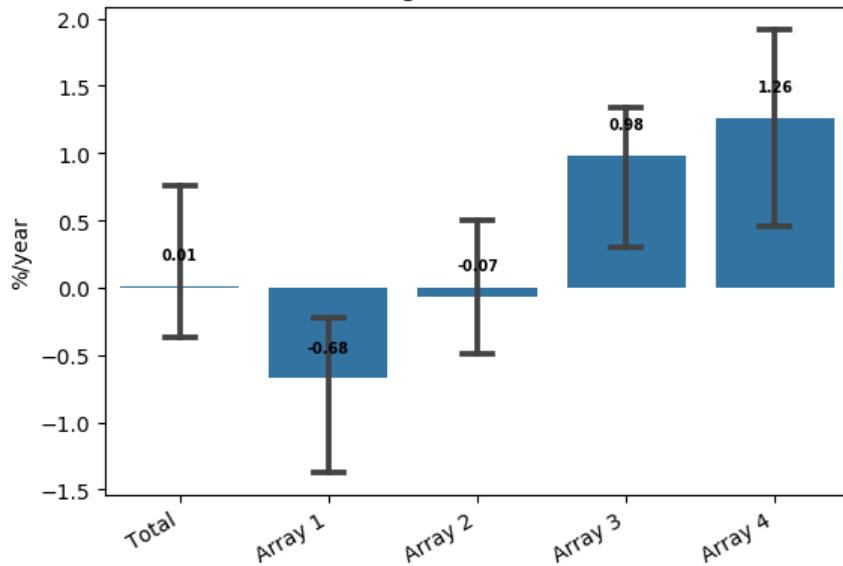
### 10 MW, 2014, Fixed Tilt

Degradation Rate



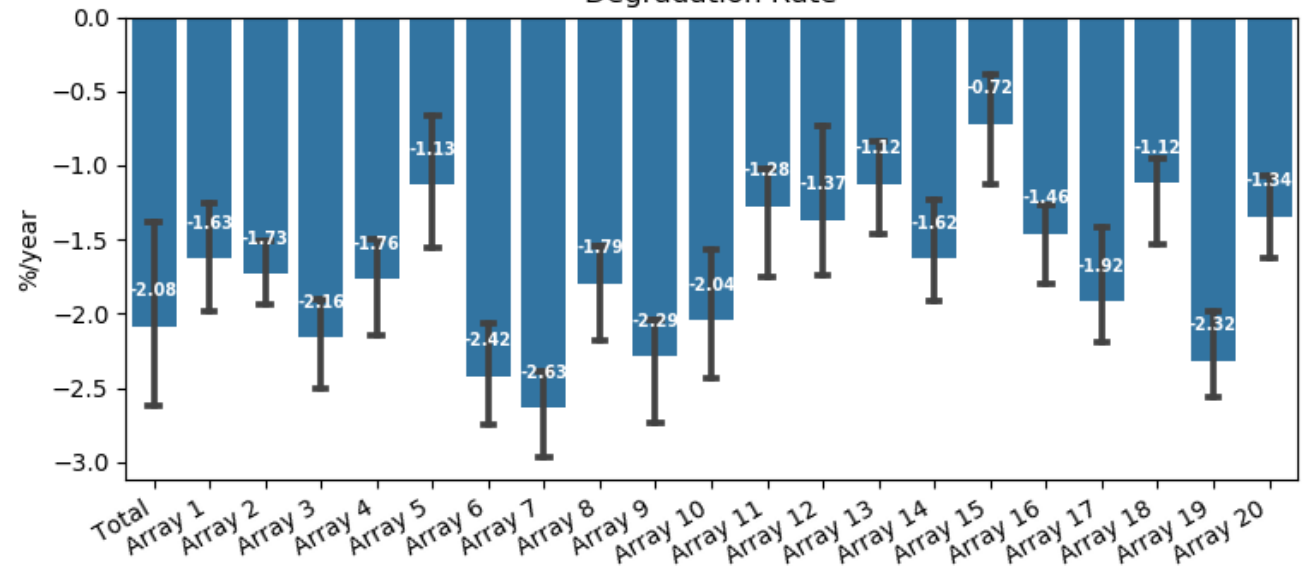
### 7 MW, 2015, Single-Axis Tracking

Degradation Rate



### 26 MW, 2016, Single-Axis Tracking

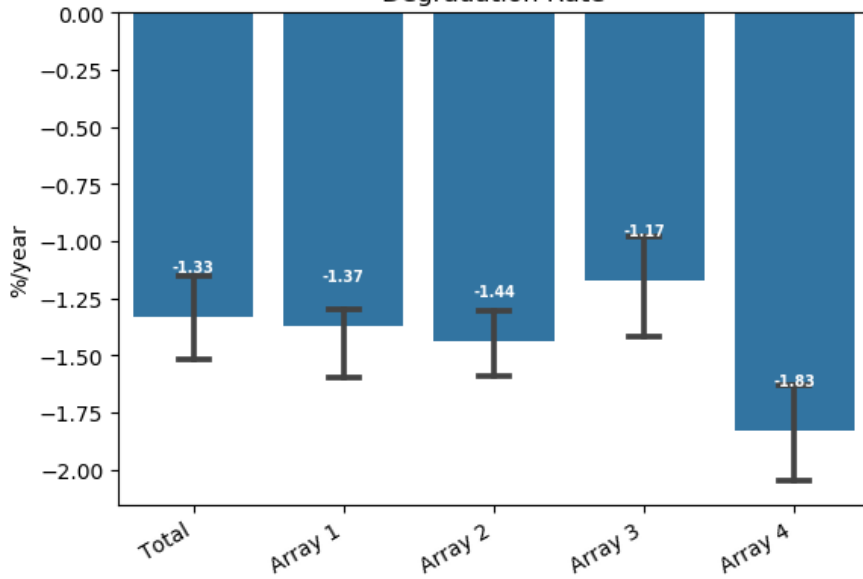
Degradation Rate





# 1 MW, 2012, Fixed Tilt

Degradation Rate



-1.33

-2.45

YoY, Median Rd,  
68% Confidence Interval

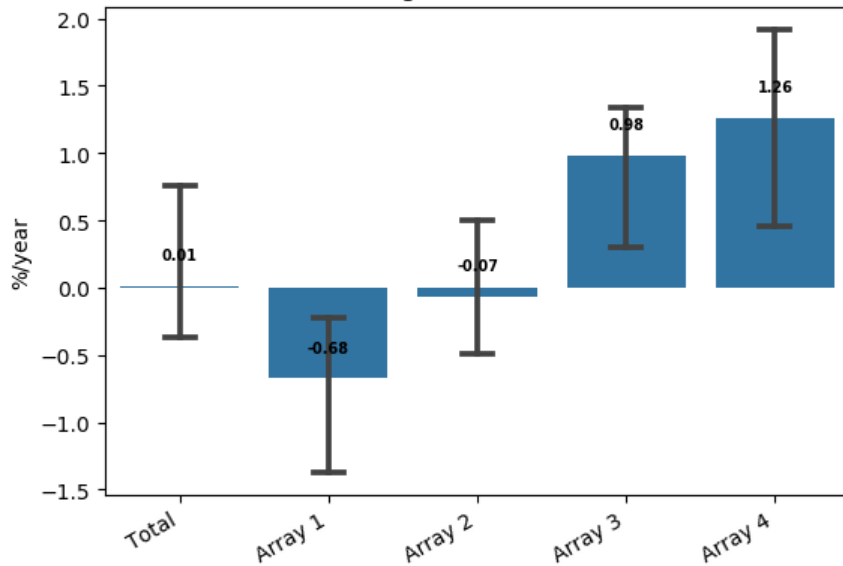
0.01

-2.08

- Calculated degradation rates are greater than the oft-assumed 0.5% - 1.0% per year.
- Applying analysis at inverter level offers opportunities for targeted investigation and remediation.
- Newly applied methodology to tracking requires further validation

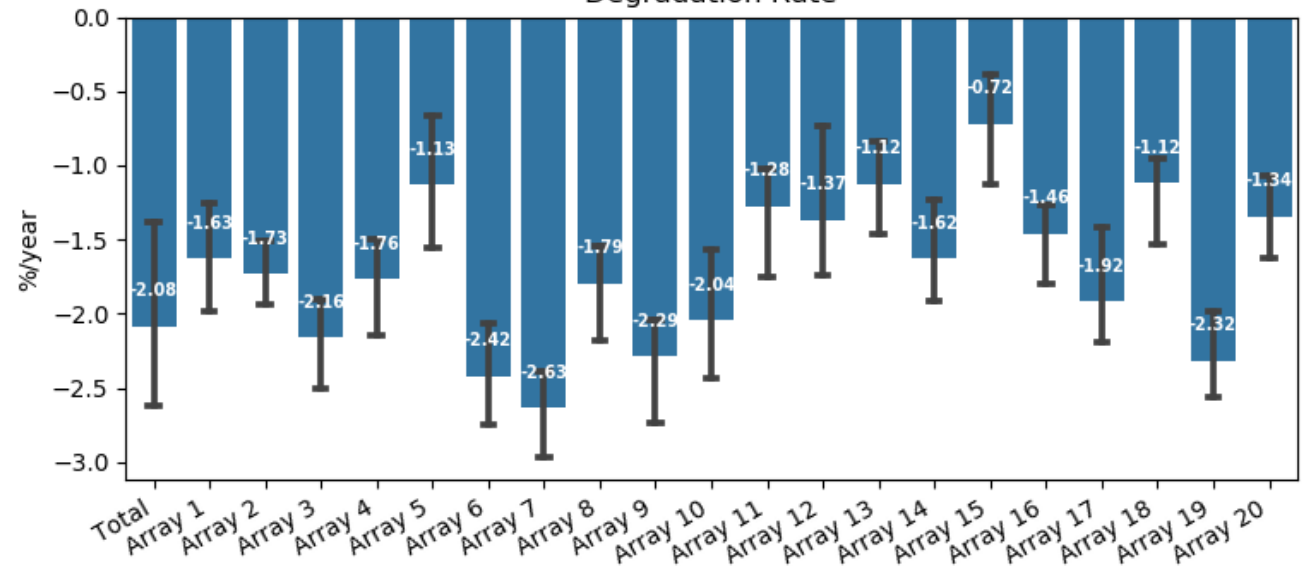
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# 26 MW, 2016, Single-Axis Tracking

Degradation Rate



# Sensitivity to filter parameter selection

# Best Practices Questions

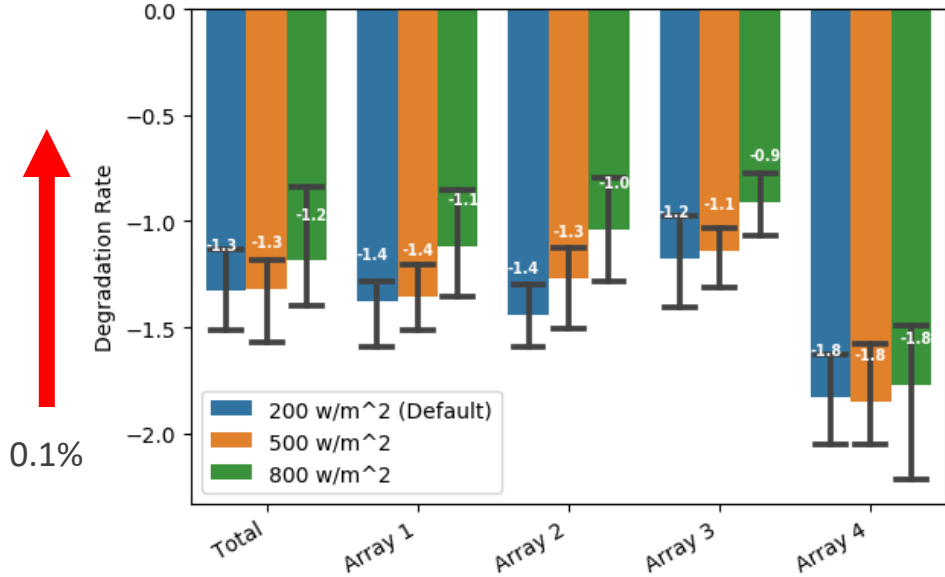
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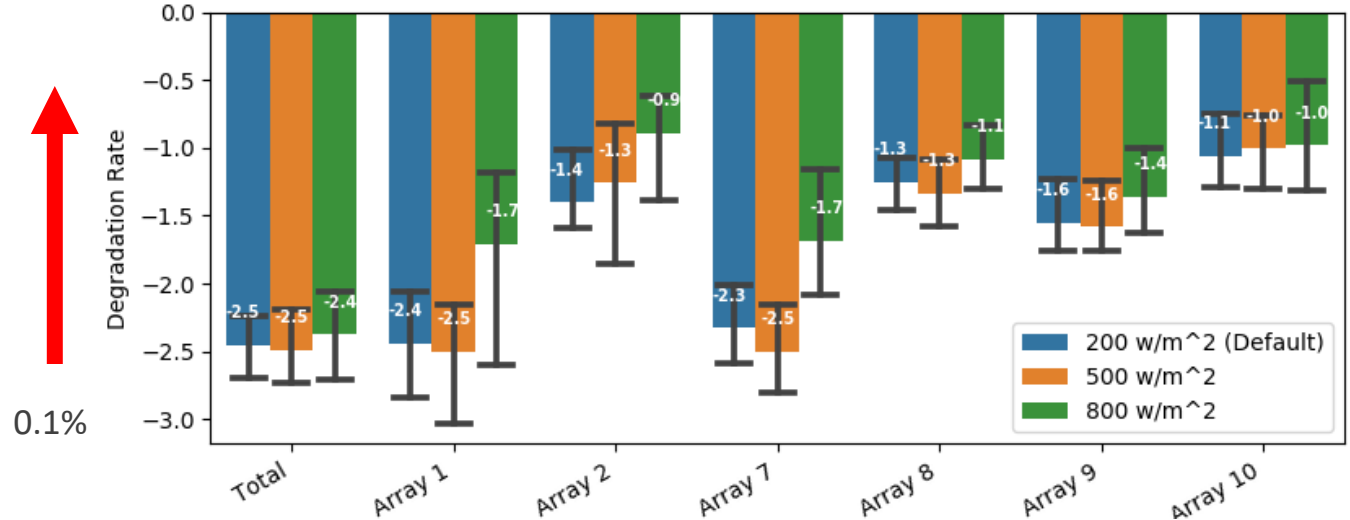
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Clear Sky Index	±20% of Sensor
Aggregation	Daily

# Filter Settings – POA Irradiance Threshold

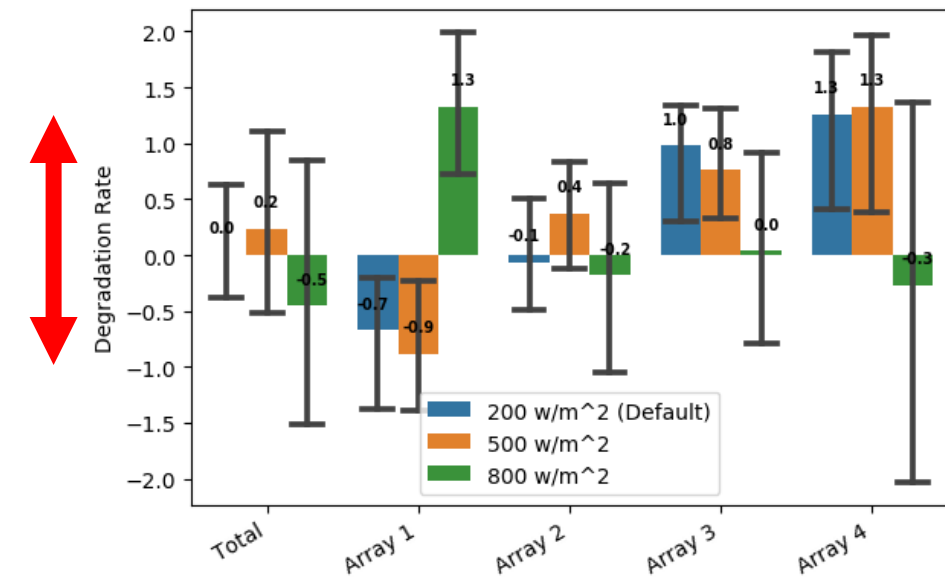
Effect of POA Lower Threshold



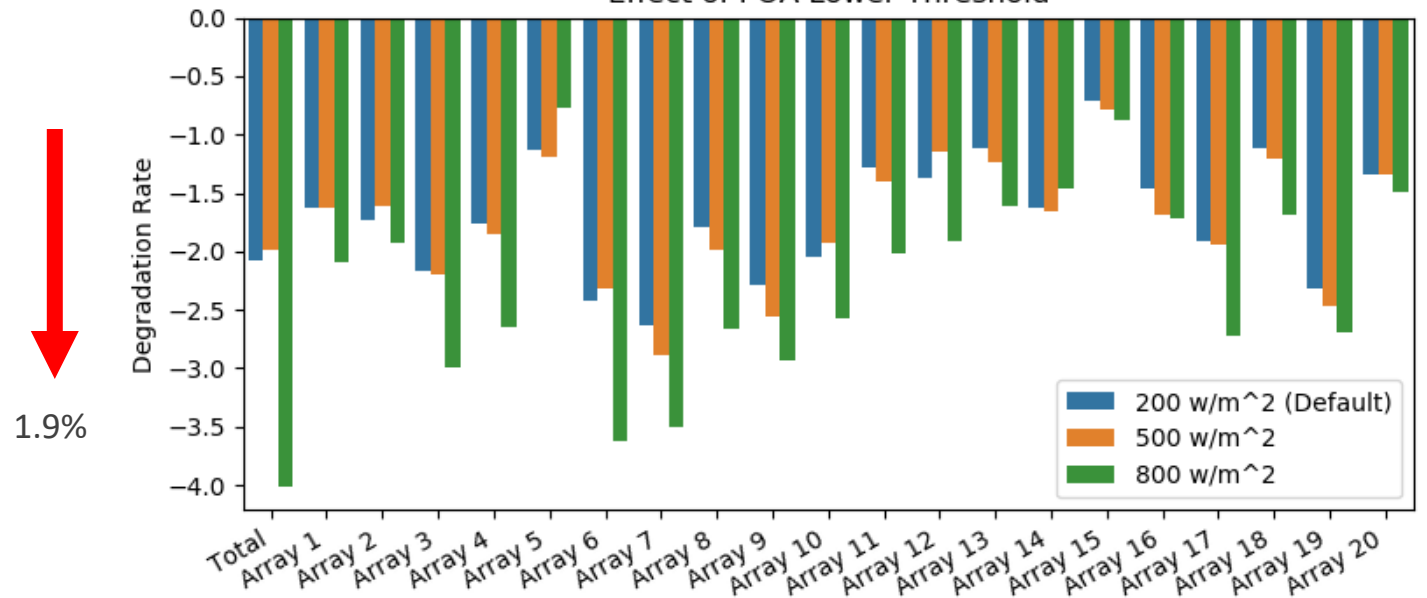
Effect of POA Lower Threshold



Effect of POA Lower Threshold



Effect of POA Lower Threshold



# Best Practices Questions

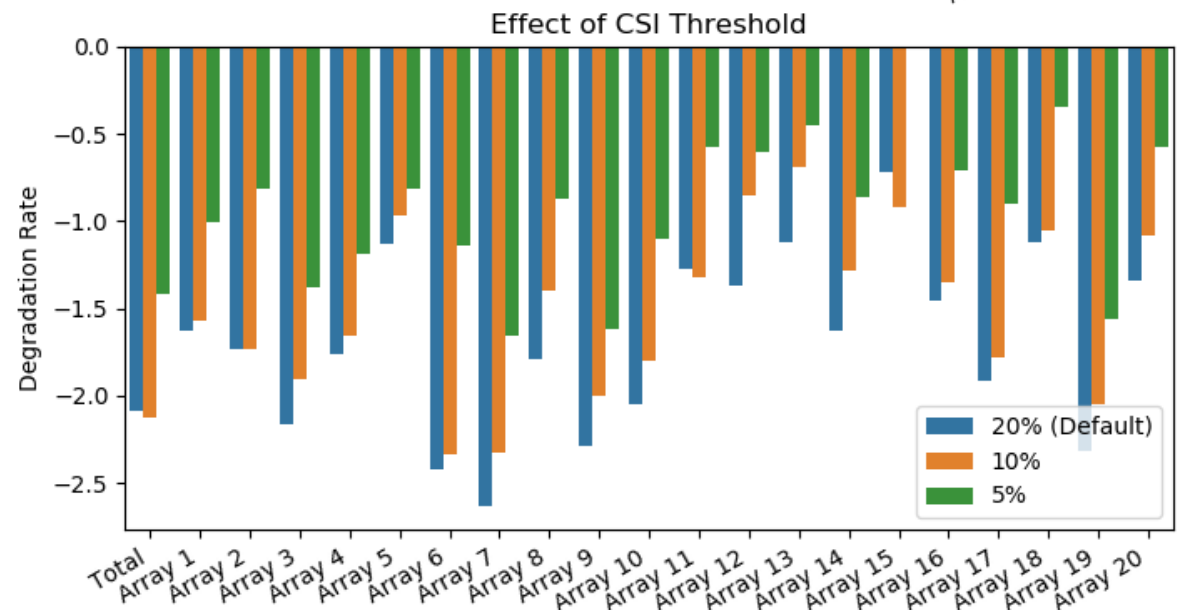
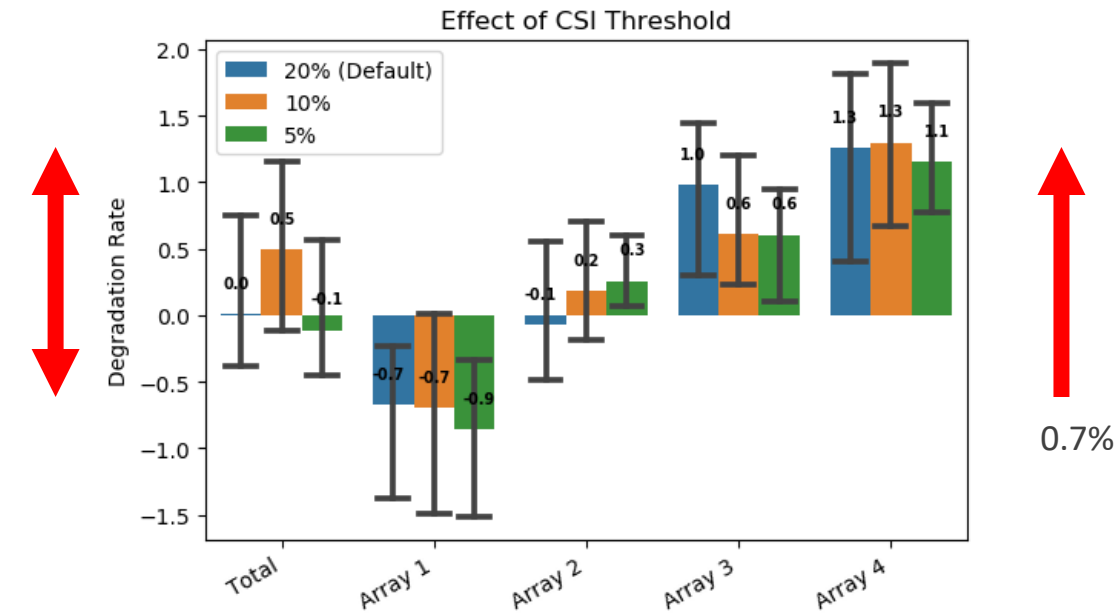
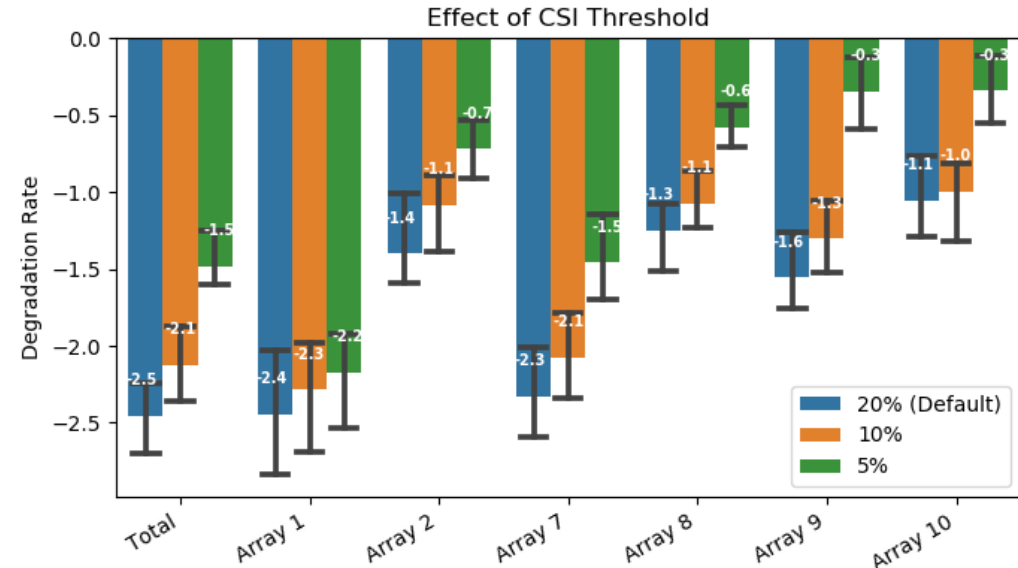
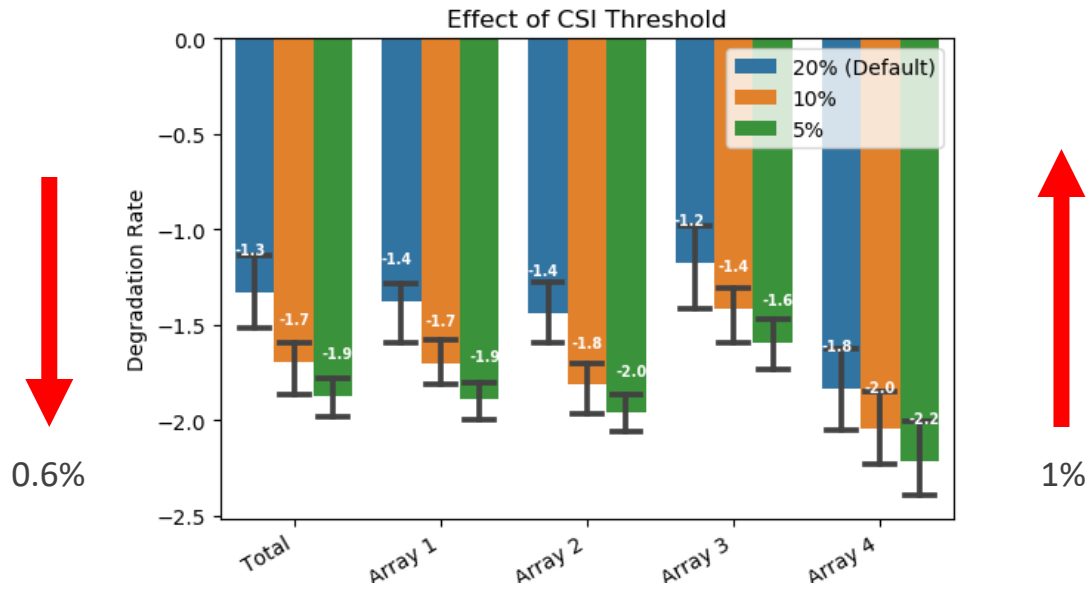
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Aggregation	Daily



# Filter Settings – Clear Sky Index Threshold



# Best Practices Questions

1. What are the proper filtering criteria and aggregation methods?

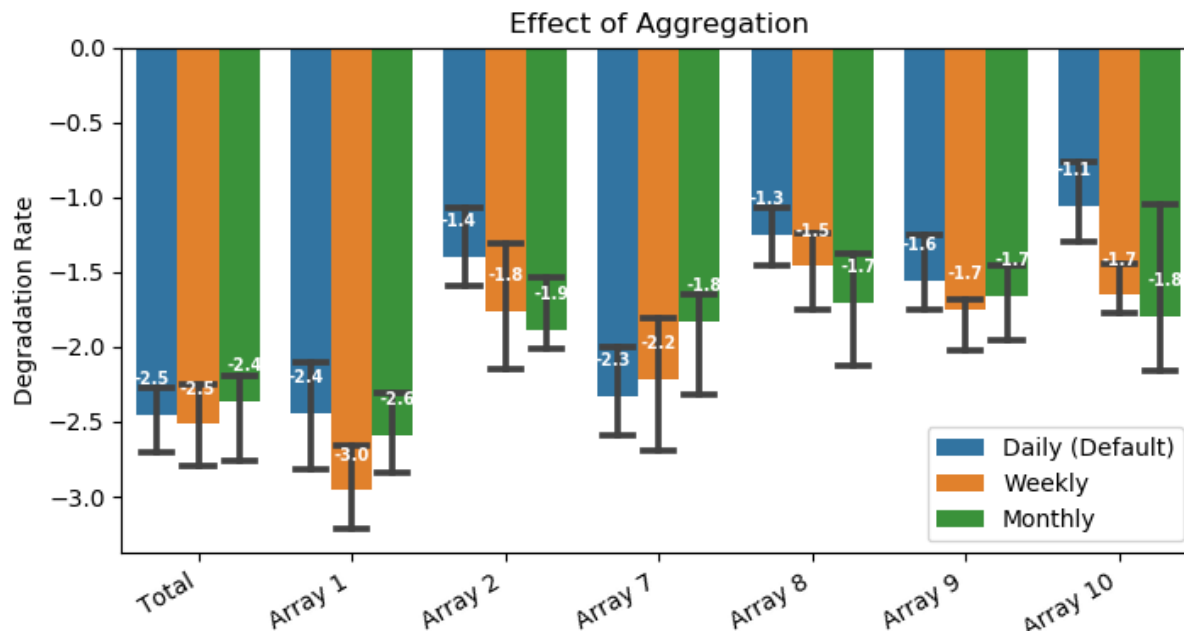
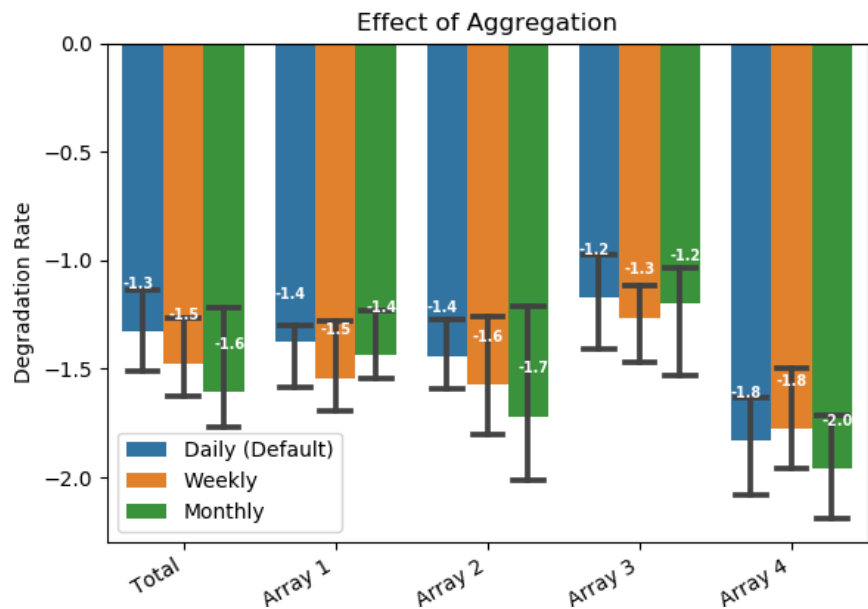
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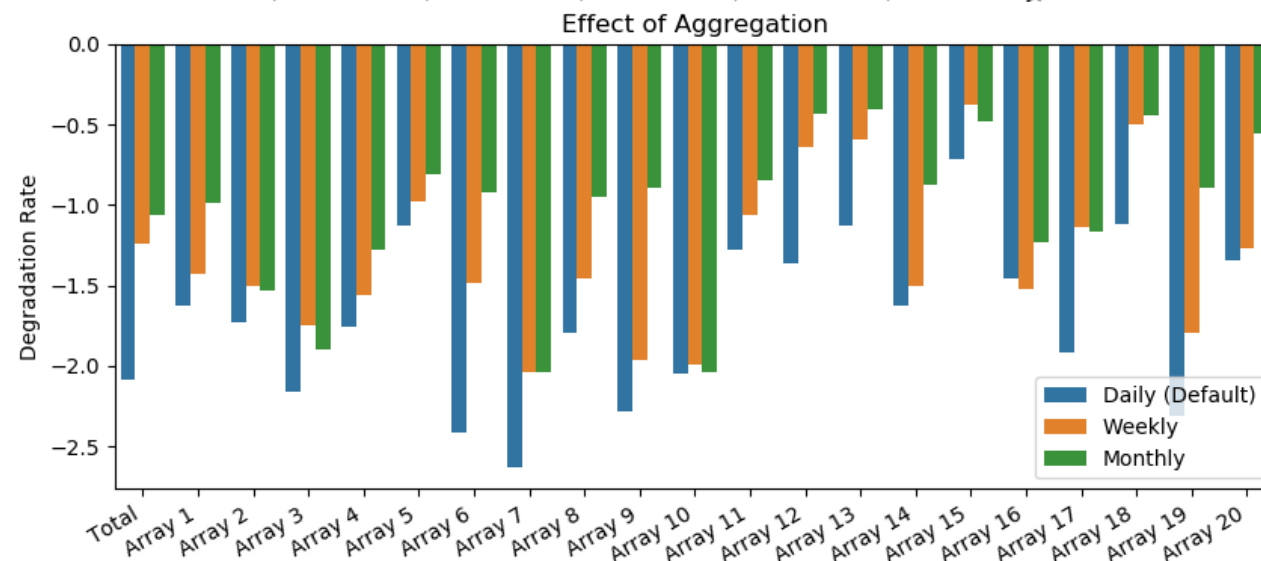
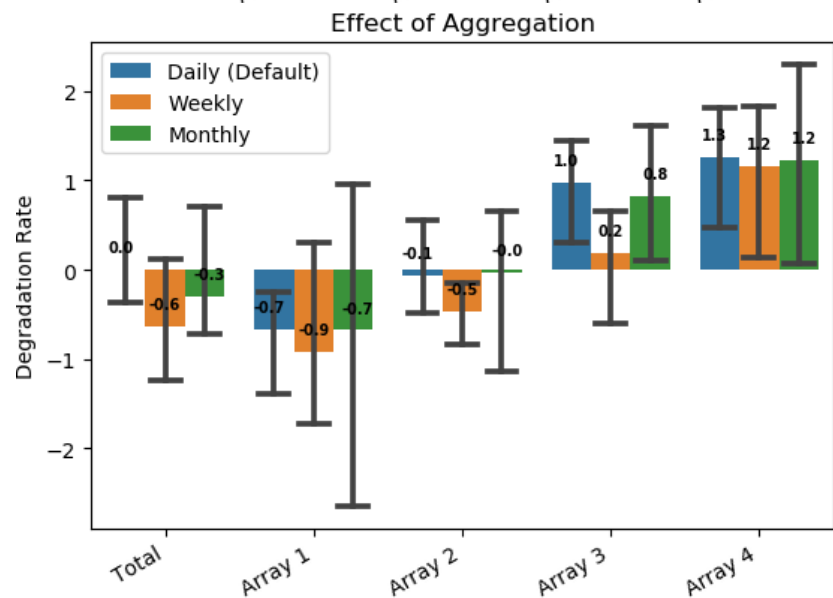
# Filter Settings – Aggregation Frequency



0.3%



1%



# Best Practices Questions

1. What are the proper filtering criteria and aggregation methods?
  1. Analysis shows variation in results depending settings
  2. Highlights need for validation

# Need for a standardized calculation methodology

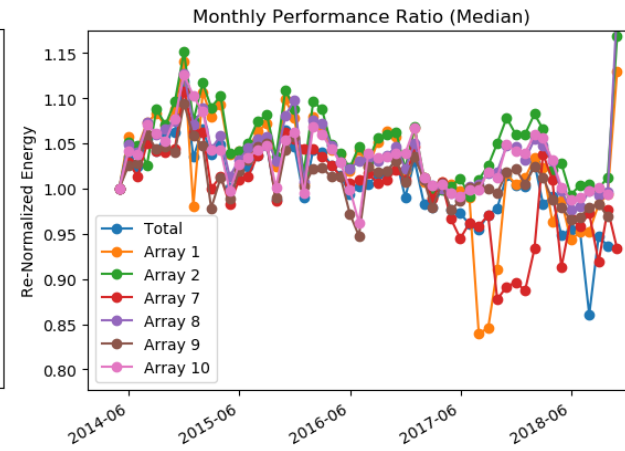
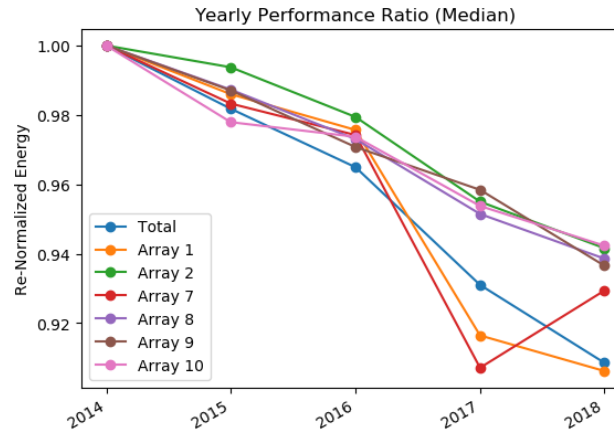
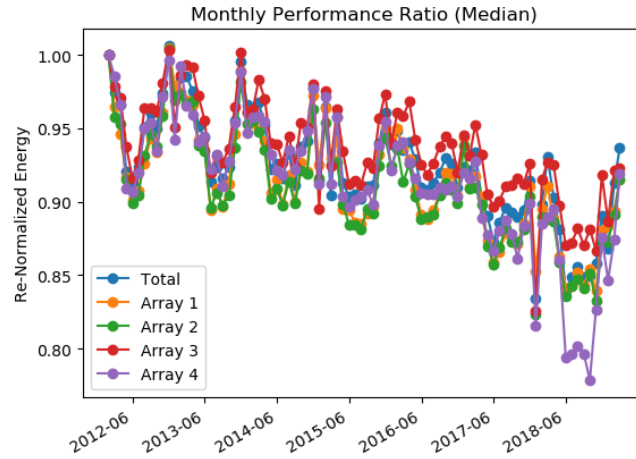
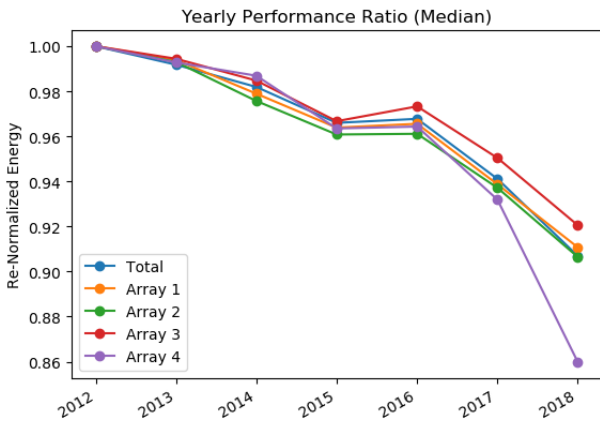
Standardized methodology should:

- Use fixed setting to provide apples-to-apples comparison
  - Useful for benchmarking plant performance
- Be applicable on large scale
  - Use only commonly recorded data
  - Not requiring customization for site-specific factors like
    - Maintenance events
    - Specific environmental conditions
    - Array configuration (size, tracking, dc:ac ratio, PV technology, etc.)
- Be robust against common errors in data/metadata
- Include a validation methodology

# Together...Shaping the Future of Electricity

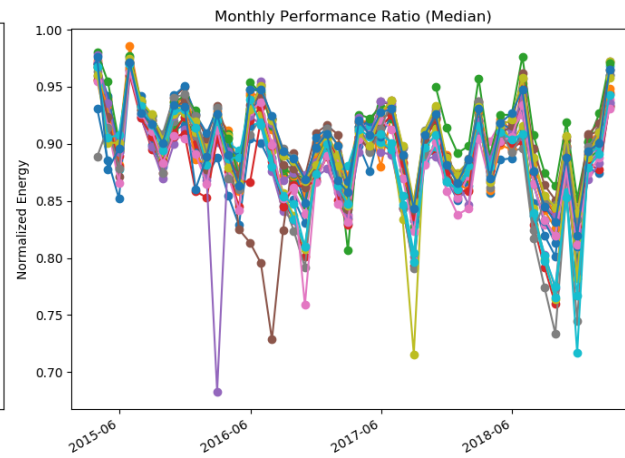
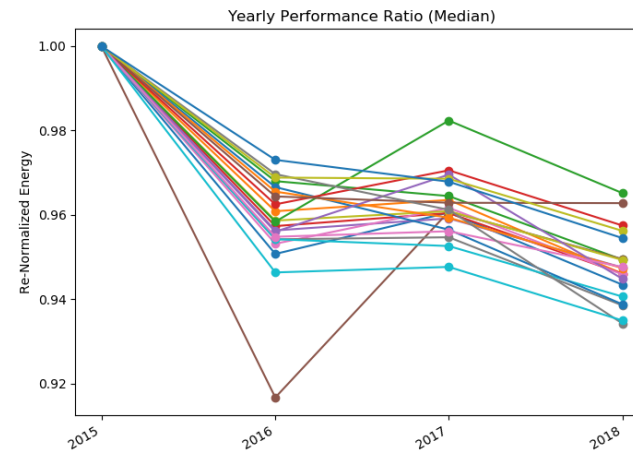
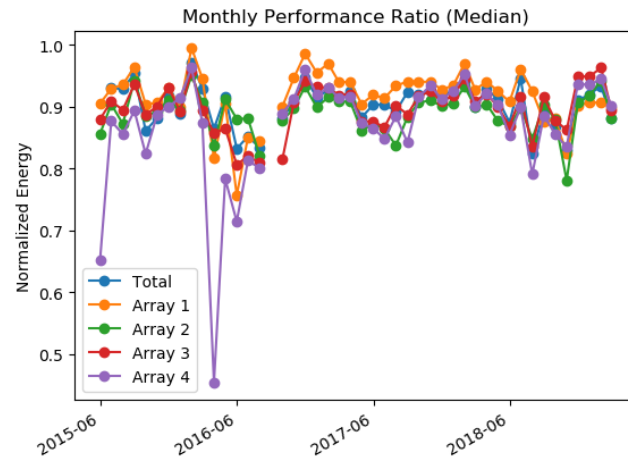
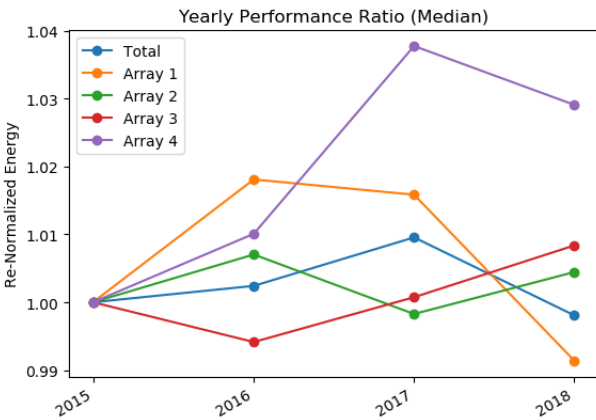
# 1 MW, 2012, Fixed Tilt -1.33

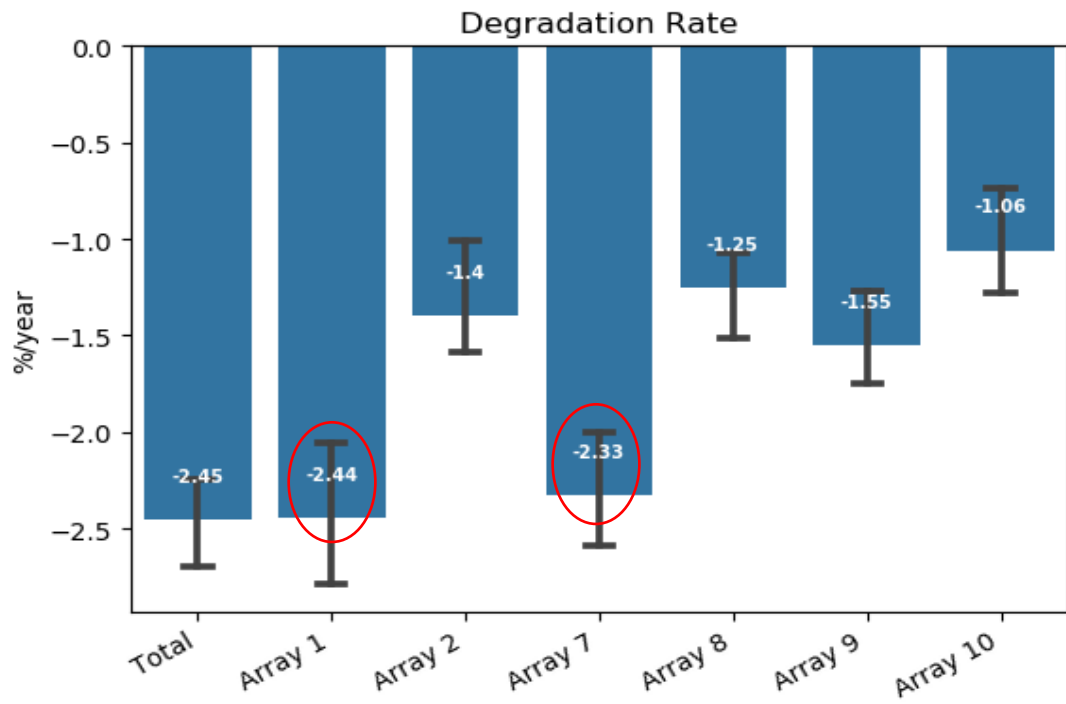
# 9.6 MW, 2014, Fixed Tilt -2.45



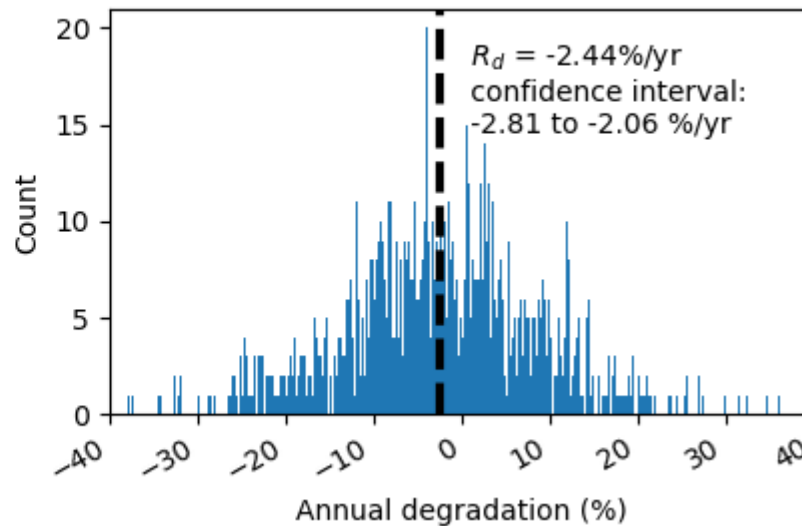
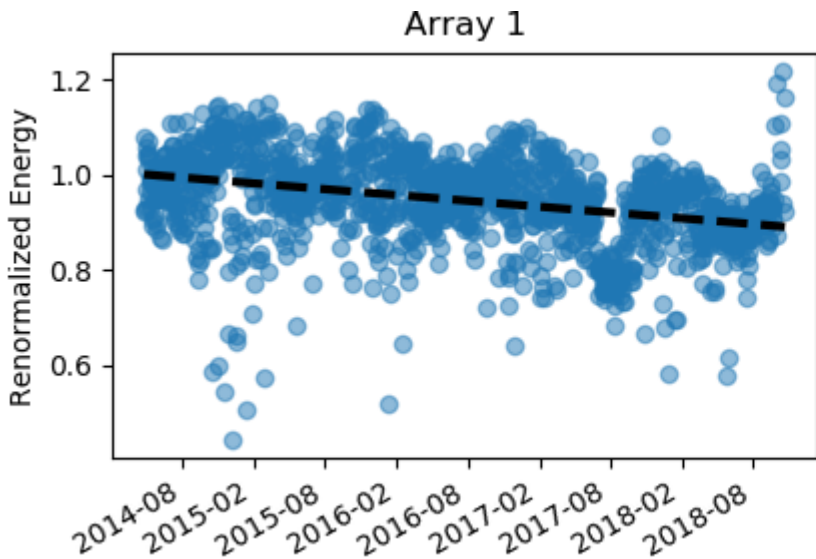
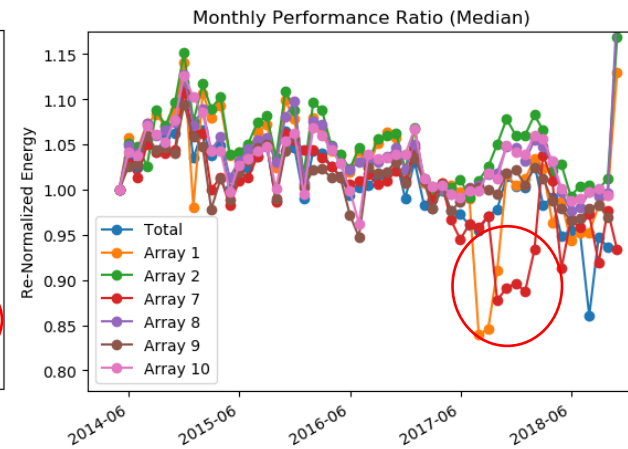
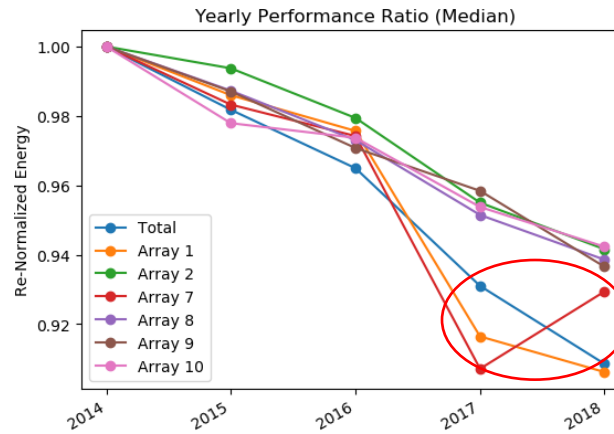
# 7 MW, 2015, Single-Axis Tracking 0.01

# 26 MW, 2016, Single-Axis Tracking -2.08

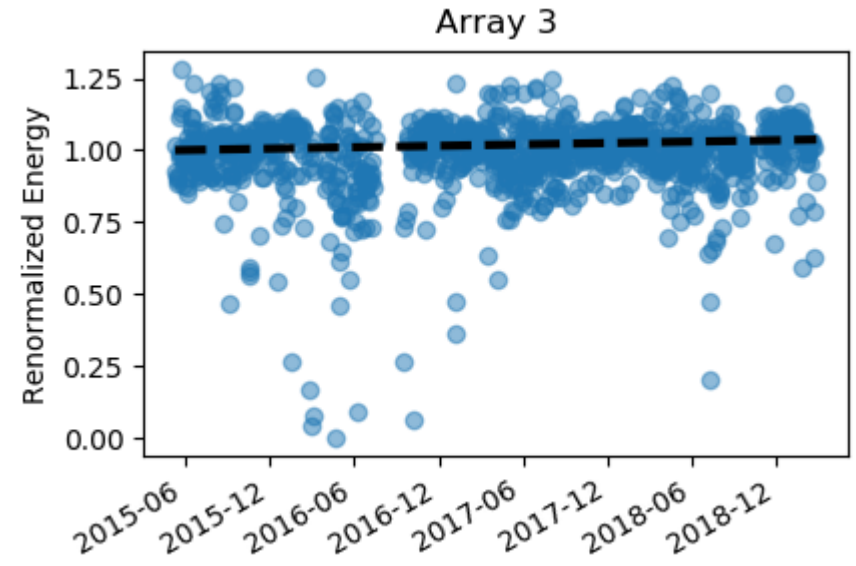
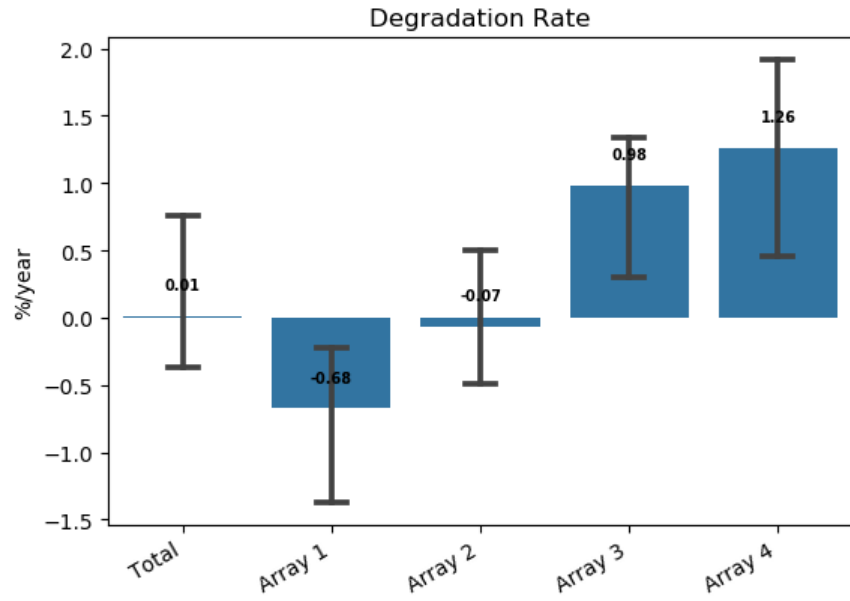




9.6 MW, 2014, Fixed Tilt **-2.45**







7 MW, 2015, Single-Axis Tracking

0.01

